schedule 4

Proofs of Claim

United States Bankruptcy Court/Southern District of New York			
Lehman Brothers Holdings Claims Processing Center	LEHMAN SECURITIES PROGRAMS		
c/o Epiq Bankruptcy Solutions, LLC	PROOF OF CLAIM		
FDR Station, P.O. Box 5076	PROOF OF CLAIM		
New York, NY 10150-5076			
In Re: Lehman Brothers Holdings Inc., et al., Chapter 11 Case No. 08-13555 (JMP)	FILL HODGE OF HEIGHT AND		
Lehman Brothers Holdings Inc., et al., Debtors. Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.		
(Jointly Administered)	08-13555 (JMP) 0000042090		
Note: This form may not be used to file claims other than those	011 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
based on Lehman Programs Securities as listed on	3		
http://www.lehman-docket.com as of July 17, 2009	State Carte		
Name and address of Creditor: (and name and address where notices should be	e sent if different from Check this box to indicate that this		
Creditor) Legend Logistics Ltd.	claim amends a previously filed claim.		
c/o Capitol International LLC and Thomas R. Slome,	Esq.		
	English & Klein. PC Court Claim Number:		
	oue (If known)		
1.0 201. 3231	York 11530-9194 Filed on:		
Telephone number:97150 4553832 email: capitol@emirates.n	net ae		
Name and address where payment should be sent (if different from above)			
, '	Check this box if you are aware that		
See Rider Section 5	anyone else has filed a proof of claim relating to your claim. Attach copy of		
	statement giving particulars.		
Telephone number: Email Address:			
1. Provide the total amount of your claim based on Lehman Programs Securities as of Sentember 15, 2008, whether you curred the Lehman	ies Vous claim amount must be at		
and whether such claim matured or became fixed or liquidated before or after S dollars, using the exchange rate as applicable on September 15, 2008. If you are	eptember 15, 2008. The claim amount must be stated in United States		
dollars, using the exchange rate as applicable on September 15, 2008. If you are you may attach a schedule with the claim amounts for each Lehman Programs 5	Enling this claim with respect to more than one Lehman Programs Security,		
	us Interest; See Rider		
(xtequires)			
Check this box if the amount of claim includes interest or other charges in	addition to the principal amount due on the Lehman Programs Securities.		
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to			
which this claim relates.	stach a schedule with the ISINs for the Lehman Programs Securities to		
International Securities Identification Number (ISIN): XS0351261630	(Required)		
3 Provide the Clearstream Bank Blacking Number a Turnel and Day			
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds must be considered.			
and administration of the state of th	ing Numbers for each Lehman Programs Security to which this claim		
relates.	J William J William State of the State of th		
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction	Defended Number and Australia		
number:	a Reference Number and or other depository blocking reference		
CA07696 Se	ee Rider and Certificate of Holding		
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant	account number related to your Lehman Programs Securities for which		
you are filing this claim. You must acquire the relevant Clearstream Bank, Euro	clear Bank or other depository participant account number from your		
accountholder (i.e. the bank, broker or other entity that holds such securities on y numbers.	our benait). Beneficial holders should not provide their personal account		
Accountholders Euroclear Bank, Clearstream Bank or Other Depositors Ba	sufficient Assessed BY		
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Pa BNP Paribas Account No. 15512 at Clearstream	rucipant Account Number:		
_(Swift Code CEDELULLXXX) (Required)	The second section of the second seco		
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By a consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank	filing this claim, you ke or other depository to		
disclose your identity and holdings of Lehman Programs Securities to the Debtor	K or other depository to		
reconciling claims and distributions.			
Date. Signature: The person filing this claim must sign it Sign and	OCT 1 9 2009		
of the creditor or other person authorized te-file this claim and	print name and title, if any,		
number if different from the notice address above. Attach copy	of power of attorney, if FDIO DAMADURES SOLUTION		
any.	of power of attorney, if EPIQ BANKRUPTC\ SOLUTIONS, LLC		
Penalty for presenting fraudulent claim Fine time \$500,000 as in-			

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pq 3 of 198

Debtors.	(Jointly Administered)
LEHMAN BROTHERS HOLDINGS INC., et al.,	Case No. 08-13555 (JMP)
In re:	Chapter 11
SOUTHERN DISTRICT OF NEW YORK	

RIDER TO LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM

1. <u>Basis for Claim</u>.

Legend Logistics Ltd. ("Claimant") is the beneficial holder of the securities listed on the attached Certificate of Holdings (the "Certificate"). The Certificate provides the amount, type and ISIN Code for the securities, as well as the account number of the institution through which Claimant holds these securities. A "Blocking Number" is also reflected on the Certificate.

Claimant makes claim against Lehman Brothers Holdings Inc. (the "Debtor") for the principal amount of these securities, which is \$500,000), plus any and all applicable interest or other earnings or charges to which Claimant is entitled as a result of the ownership of these securities, including without limitation interest accruing on the securities before and after the September 15, 2008 date on which the Debtor filed its bankruptcy petition. Interest accrued and unpaid to that date is \$9,270.80.

2. Reservation of Rights.

Claimant reserves the right to amend or supplement this Proof of Claim to reflect any additional claims against the Debtor, to specify additional interest, costs, expenses or other charges or claims incurred by the Claimant and to file additional claims that may be based on the same or additional documents.

3. No Waiver.

This Proof of Claim is filed to protect the Claimant from forfeiture of the Claim. The filing of this Proof of Claim is not: (a) a waiver or release of the Claimant's rights against any person, entity or property; (b) a consent by the Claimant to the jurisdiction of the Bankruptcy Court with respect to the subject matter of the Claim or any objection or other proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to move to withdraw the reference or otherwise to challenge the jurisdiction of this reference or otherwise challenge the jurisdiction of the Bankruptcy Court; (d) an election of remedy; (e) a waiver of any rights or claims the Claimant has against the Debtor or any person or entity with respect to any pending or future litigation or to any matters related to such litigation; or (f) a waiver of past, present or future defaults or events of default.

4. Notices.

All notices to the Claimant should be sent to:

MEYER, SUOZZI, ENGLISH & KLEIN, P.C. Attn: Thomas R. Slome, Esq. 990 Stewart Avenue, Suite 300 P.O. Box 9194 Garden City, New York 11530-9194 Email: tslome@msek.com

Phone: 516-592-5772

Legend Logistics Ltd. c/o Capitol International LLC Shop No. 2, G/Fl Emirates Centre (San Fashion Bldg) P.O. Box 41710 Karama, Dubai, U.A.E. Attn: Mr. R. Sakhrani

Email: capitol@emirates.net.ae

Phone: 97150 4553832

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 5 of 198

5. Payment.

Payment on the claim should be made payable to Legend Logistics Ltd. and be sent to:

Legend Logistics Ltd. c/o BNP Paribas (Suisse) S.A. Selnaustrasse 16 CH -8022 Zurich Switzerland

Capitol International LLC P.O. Box 41710 Dubai United Arab Emirates

Geneva, 01.09.2009

Certificate of holding

We are pleased to confirm that you, as client of our Bank, held, as per Mr/Ms....., the following position:

<u>USD 500'000 - 7,5% LEHMAN BROTH TRSY 3/M LIB. 08-2018</u>

(ISIN code: XS0351261630)

Those securities are registered on our account n° 15512 named BNP Paribas/clients assets opened at Clearstream, 42 Avenue J.F. Kennedy, 1855 Luxembourg (CEDELULLXXX).

The Blocking Number is CA07696

This information is delivered upon your request and in the context of the filing of Proof in the Lehman Brothers Holding Incorporated's bankruptcy proceeding. It is given to you without any liability and should not be interpreted as an undertaking on our part.

Yours faithfully,

BNP PARIBAS (SUISSE) SA

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 7 of 198

MEYER, SUOZZI, ENGLISH & KLEIN, P.C.

ONE COMMERCE PLAZA SUITE 1705 ALBANY, NEW YORK 12260 518-465-5551 FACSIMILE: 518-465-2033

1300 CONNECTICUT AVENUE, N.W. SUITE 600
WASHINGTON, DC 20036
202-955-6340
FACSIMILE: 202-223-0358

Counselors at Law
990 Stewart Avenue, Suite 300
P.O. Box 9194
Garden City, New York 11530-9194

516-741-6565

FACSIMILE: 516-741-6706

E-MAIL: meyersuozzi@msek.com

WEBSITE: http://www.msek.com

1350 Broadway, Suite 501 P.O. Box 822 New York, New York 10018-0026 212-239-4999 Facsimile: 212-239-1311

425 BROADHOLLOW ROAD, SUITE 405 P.O. BOX 9064 MELVILLE, NEW YORK 11747-9064 631-249-6565 FACSIMILE: 631-777-6906

THOMAS R. SLOME

October 16, 2009

VIA FEDEX

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017

> Re: In re Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555 (JMP)

Dear Sir/Madam:

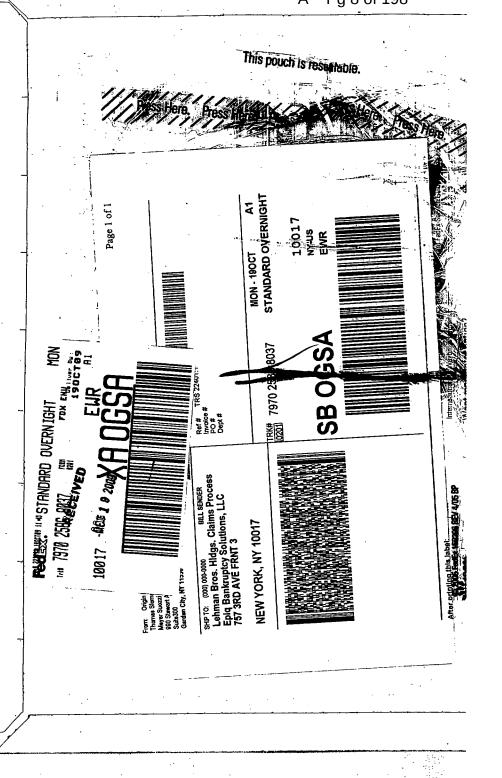
Enclosed is an original and one copy of a Lehman Securities Programs Proof of Claim, which we are submitting on behalf of our client, Legend Logistics Ltd.

Please file the original (together with its rider and other attachment) and return the copy, file stamped to show receipt, in the enclosed self-addressed post-paid envelope.

Very truly yours,

Thomas R. Slome

TRS/lg encl.



Lehman Brothers	Holdings Claims Proce tey Solutions, LLC . Box 5076	rn District of New York ssing Center		CURITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Souther Lehman Brothers F 08-1355!	
based on Lehn	n may not be used nan Programs Secu nman-docket.com a			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) Creditor: SelectInvest Financial Service AG 1010 Vienna, Kaerntnerstrasse 51/To			vice AG sse 51/Top 3a	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known)
Notices Telephone numbe	1010 Vi	is Schelhammer & So Lenna, Goldschmiedo Email Address:		Filed on:
Name and address where payment should be sent (if different from above) Bankhaus Schelhammer & Schattera AG Check this box if you are anyone else has filed a proof relating to your claim. Attach		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.		
Telephone number 43153434 Email Address bank.office Schelhammer.at 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$641,880.00				
(Clearstream) CA34598 (Required)				
you are filing this	claim. You must acqu	ire the relevant Clearstream Bank, Eur	oclear Bank or other depositor	ur Lehman Programs Securities for which y participant account number from your rs should not provide their personal account
-381	21-	rstream Bank or Other Depository I (Require	d)	
consent to, and and disclose your idea	re deemed to have authoritity and holdings of Less and distributions.	ream Bank or Other Depository: By orized, Euroclear Bank, Clearstream Behman Programs Securities to the Debt	ank or other depository to ors for the purpose of	FILED / RECEIVED OCT 2 1 2009
Date. 2009,	of the creditor or othe	on filing this claim must sign it. Sign as r person authorized to file this claim as om the notice address above. Attach co	nd state address and telephone	001 2 1 2003



Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

any.

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 10 of 198

· 08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 11 of 198



Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076
New York, NY 10150-5076
United States of America
CERTIFIED MAIL / einschreiben

Vienna, 2009-10-15

Subject:

Proofs of Claim based on Lehman Programs Securities

Adjustment of our transmitted Proof of Claim Form dated 2009-09-28

Dear Sirs and Madams!

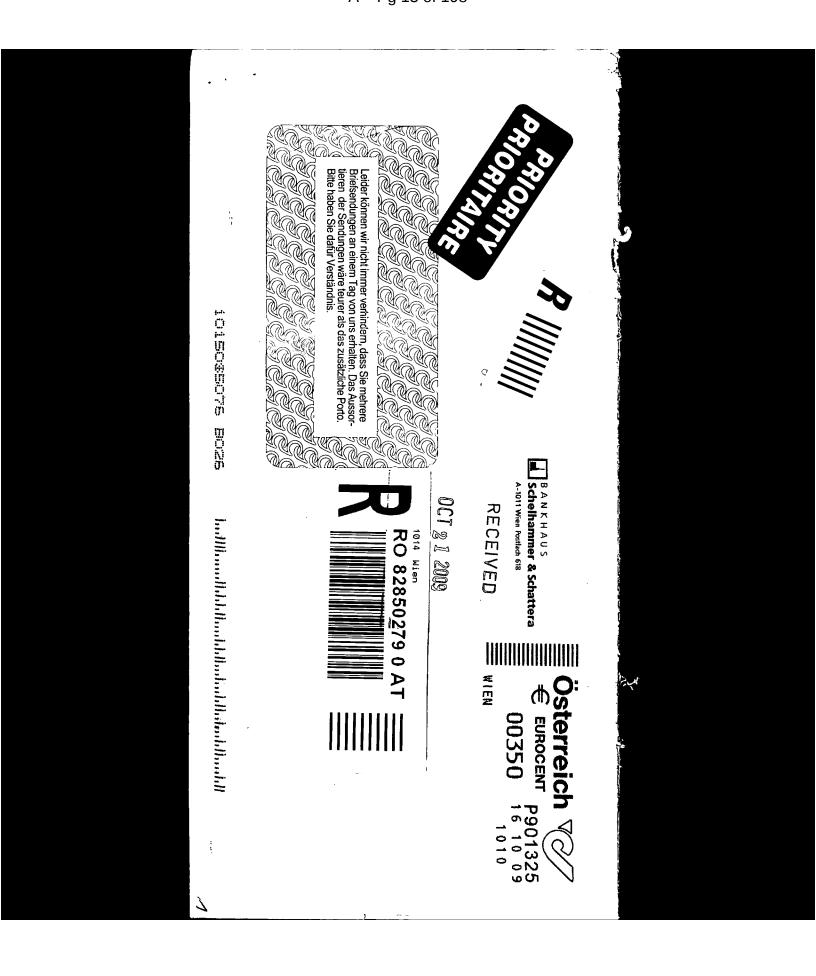
Referring to the subject mentioned above and our letter dated 2009-09-28 we kindly ask you to adjust our already transmitted Proof of Claim Form (dated see above) as we unfortunately have used a wrong blocking number. We hereby attach the "correct", duly completed form with the valid blocking number CA34598 in number 3.

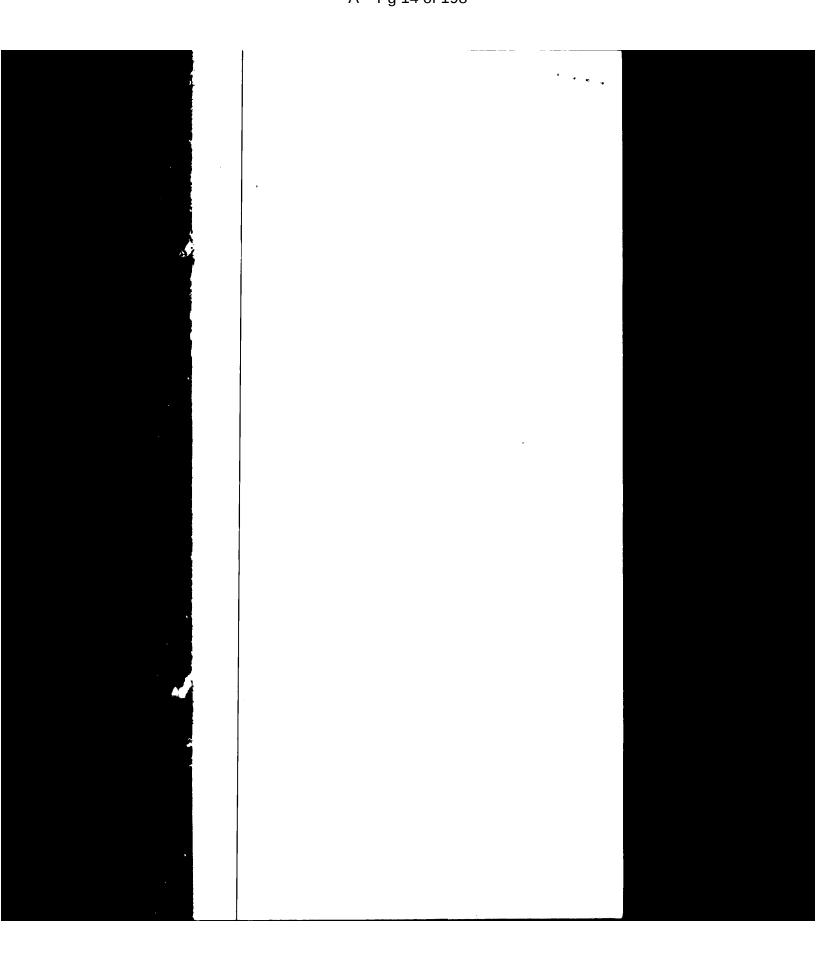
Except the mentioned blocking number, everything else stays the same.

We also ask you to affirm the reception of this letter including the form per e-mail bank.office@schelhammer.at for our records.

With kind regards,

One Attachment Proof of Claim Form





United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044272			
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009				
Name and address of Creditor: (and name and address where notices should be Creditor) Olli-Pekka Laitinen C/o Front Capital Ltd Aleksanterinkatu 48 A FI-00100 HELSINKI FINLAND Telephone number: +35896829800Email Address: Pekka. Ni Name and address where payment should be sent (if different from above)	claim amends a previously filed claim. Court Claim Number: (If known) Filed on: Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of			
Telephone number: Email Address:	statement giving particulars.			
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ 495 285 (Required)				
☐ Check this box if the amount of claim includes interest or other charges in	n addition to the principal amount due on the Lehman Programs Securities.			
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.				
International Securities Identification Number (ISIN): F1 0008903000 (Required)				
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.				
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: 51091012 H B 0 0 0 0 0 30472 6 (Required)				
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.				
Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: O861597-4 (Required)				
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Budisclose your identity and holdings of Lehman Programs Securities to the Debt reconciling claims and distributions.	y filing this claim, you ank or other depository to tors for the purpose of FOR COURT USE ONLY FILED RECEIVED			
Date. Oct 16, Signature: The person filing this claim must sign it. Sign a of the creditor or other person authorized to file this claim number if different from the notice address above. Attach contains any. MD FR must	nd state address and telephone			

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

POWER OF ATTORNEY

The undersigned, Olli-Pekka Laitinen (150348-059C), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
 - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
 - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (voorlopige surseance van betaling) granted by the Amsterdam District Court on September 19, 2008); and/or
 - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the "Insolvency Proceedings") in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) FI0008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

NOTE HOLDER:

This Power of Attorney shall be governed by Finnish law.

Dated: 14-10-2018

Olli-Pekka Laitinen

Print name of person signing

TRUE COOL

To:

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

From:

Front Capital Ltd Aleksanterinkatu 48 A FI-00100 Helsinki Finland

Lehman Securities Programs Proof of Claims

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

- 1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: F10008903000 (7 Proof of Claims)
- 2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
- 3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
- RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

- 1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
- 2. ISIN code of the related security
- 3. Blocking number registered and provided by the custodian bank
- 4. Identification number of the relevant custodian bank

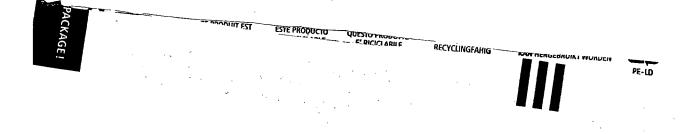
The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

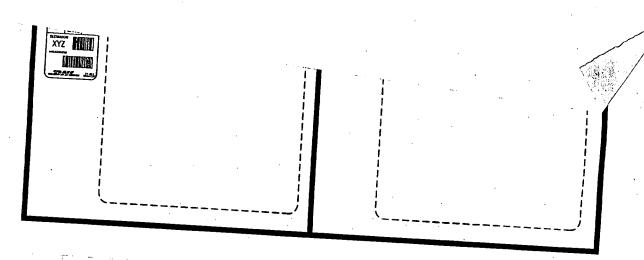
Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: pekka.rikala@front.fi, phone: +358 9 6829 8017

Pekka Rikala

Managing Director

Front Capital Ltd







United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044274			
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009				
Name and address of Creditor: (and name and address where notices should be Creditor) Jari Vanhatalo C/o Front Capital Ltd Aleksanterinkatu 48A FI-00100 HELSINKI FINLANO Telephone number: +35896829800 Email Address: Pekka.ri Name and address where payment should be sent (if different from above)	Cleek this box to indicate that this claim amends a previously filed claim. Court Claim Number:			
	anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.			
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$				
Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.				
International Securities Identification Number (ISIN): F10008903000 (Required)				
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.				
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:				
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:				
1730744 - 7 (Required	•			
 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Badisclose your identity and holdings of Lehman Programs Securities to the Debureconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and the program is sign in the person filing this claim must sign it. 	r filing this claim, you ank or other depository to ors for the purpose of The purpose of OCT 2 2 2009			
number if different from the notice address above. Attach co	py of power of attorney, if			

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

POWER OF ATTORNEY

The undersigned, Jari Vanhatalo (310763-019H), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
 - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
 - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (voorlopige surseance van betaling) granted by the Amsterdam District Court on September 19, 2008); and/or
 - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the "Insolvency Proceedings") in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) FI0008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

This Power of Attorney shall be governed by Finnish law.

Dated: 15.10. 2008

NOTE HOLDER:

Jari Vanhatalo

Print name of person signing

Thue (on

To:

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

From:

Front Capital Ltd Aleksanterinkatu 48 A FI-00100 Helsinki Finland

Lehman Securities Programs Proof of Claims

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

- 1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: FI0008903000 (7 Proof of Claims)
- 2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
- 3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
- RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd, former APK Ltd).

The following information has been used when filling in the Proof of Claims:

- 1. Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
- 2. ISIN code of the related security
- 3. Blocking number registered and provided by the custodian bank
- 4. Identification number of the relevant custodian bank

The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

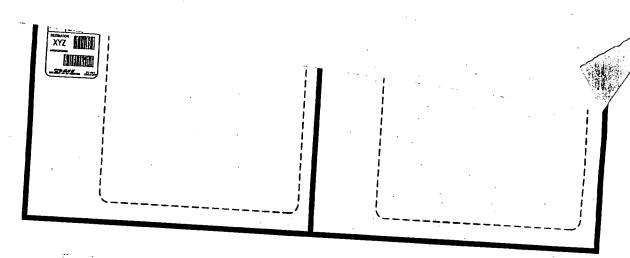
Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: pekka.rikala@front.fi, phone: +358 9 6829 8017

Pekka Rikala

Managing Director

Front Capital Ltd







subject to future amendment

Note: This form may not be used to file claims other than those based on Lehman Programs Securities as fisted on http://www.lehmandecket.com as of July 17, 2009 Name and address of Creditor: (and name and address where notices should be sent if different from laborate on Lehman Programs Securities and the Creditor) Name and address of Creditor: (and name and address where notices should be sent if different from laborate on the Creditor) Name and address of Creditor: (and name and address where notices should be sent if different from laborate on the Creditor) Name and address of Creditor: (and name and address and the Creditor) Name and address of Creditor: (and name and address and the Creditor) Please send all notices also to: Baker & McKonzale LLP and the Americas (Please Send Send Send Send Send Send Send Sen	United States Bankruptcy Court/ Lehman Brothers Holdings Claim c/o Epiq Bankruptcy Solutions, L FDR Station, P.O. Box 5076 New York, NY 10150-5076	s Processing Center LC	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
Note: This form may not be treed to file stains solver than those based on Letinan Programs Securities as listed on the programs Securities as listed on the programs Securities as listed on the programs Securities and Security of the Securities International Securities Inter	_			
Please send all notices also to: Baker & McKenzie LLP at. Iran A. Provide the Claims member apreviously filed claim. Court Claim Number: Filed on: T: 44 12 1206 24 86 / Mail: peter-felder@lukb.ch	based on Lehman Programs	Securities as listed on	0000044369	
Check this box if you are aware that approved for claim relating to your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities on September 13, 2008 whether you owned the Lehman Programs Securities on September 13, 2008 whether you consent for search claim amount must be the amount owed under your Lehman Programs Securities as of September 13, 2008 whether you owned the Lehman Programs Securities on September 13, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States and whether such against as applicable on September 13, 2008. If you are filling this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. 3. Provide the International Securities Identification Number (ISIN): CH0027120689 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number in Security for which you are filing a claim. You must acquire the subscience of the subsc	Creditor) _uzerner Kantonalbank AG _egal & Compliance Departm Pilatusstrasse 12 CH-6002 Luzern [; +41 41 206 24 86 / Mail: pe	Please send Baker & Mch ent att. Ira A. Re 1114 Avenu New York, 1 tter.felder@lukb.ch T: +1 212 62	all notices also to: Kenzie LLP oid e of the Americas 0036, US Claim amends a previously filed claim. Court Claim Number: (If known)	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities and September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filting this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$\frac{1}{586'133.90}\$ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. International Securities Identification Number (ISIN): CH0027120689 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank Electronic Instruction Reference Number and or other depository blocking reference number: Clearstream Bank Blocking Number, Euroclear Bank or other depository participan	Name and address where paymen	t should be sent (if different from above)	anyone else has filed a proof of claim relating to your claim. Attach copy of	į.
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. SIX SIS AG, Baselstrasse 100, CH-4600 Olten Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Account number 20090537 (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP	1. Provide the total amount of your claim based on Lchman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lchman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lchman Programs Security to which this claim relates. Amount of Claim: \$			
you are filing this claim. You must acquire the relevant Clearstream Bank, Enforced bank of which the bank broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. SIX SIS AG, BaseIstrasse 100, CH-4600 Olten Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Account number 20090537 (Required) 5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP	Blocking numbers see attachment (Required)			
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Date. Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP	you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclean Bank of other depotently provide their personal account account numbers. SIX SIS AG, Baselstrasse 100, CH-4600 Olten Account numbers Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Account number 20090537 (Required)			
of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Baker & McKenzie LLP	5. Consent to Euroclear Bank, 6 consent to, and are deemed to have disclose your identity and holding reconciling claims and distribution	Clearstream Bank or Other Depository: re authorized, Euroclear Bank, Clearstrean is of Lehman Programs Securities to the Dins.	By filing this claim, you an Bank or other depository to lebtors for the purpose of FILED RECEIVED	
Described for presenting frequenting frequency of the Country of National Country of Cou	of the creditor number if diffe any. Baker	or other person authorized to file this clair rent from the notice address above. Attacl & McKenzie LLP 🕰	n and state address and telephone in copy of power of attorney, if	С

Blocking Reference Number
2720854538161510
4726024554130810
7173083654130810
1320142133161510
9961263054130810
6350342354130810
3691311654130810
4561110454130810
9849235653130810
6705820533161510
0434745253130810
8307284553130810
9706873753130810
7722783053130810
0000742453130810
4653401053130810
9500360153130810
0483565452130810
0362944952130810
7862204152130810
4020973652130810
6616882352130810

H A N D

 \mathbf{D} E

 \mathbf{L}

I

E

R Y

RECEIVED BY:

DATE

11:15AM

TIME

subject to future amendment

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076			CURITIES PROGRAMS OF OF CLAIM	
In Re: Lehman Brothe Debtors.	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothers	ern District of New York Holdings Inc., Et Al. 55 (JMP) 0000044573
based on Leh	orm may not be used t iman Programs Secur <u>climan-docket.com</u> as	o file claims other than those ties as listed on of July 17, 2009		
Creditor) Luzerner Kanto Legal & Compl Pilatusstrasse CH-6002 Luze	onalbank AG liance Department 12 rn 24 86 / Mail: peter.feld	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 100	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: ernet.com
Name and addre	ss where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$\frac{7'136'224.35}{(Required)}\] Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.				
International Securities Identification Number (ISIN): CH0027120648 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference				
number: Blocking numbers see attachment (Required)				
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. SIX SIS AG, Baselstrasse 100, CH-4600 Olten Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Account number 20090537 (Required)				
		m Bank or Other Depository: By	·	FOR COURT USE ONLY
consent to, and an	re deemed to have authorize	red, Euroclear Bank, Clearstream Ban nan Programs Securities to the Debto	nk or other depository to	FILED / RECEIVED
Date. 2009, Oct. 23	of the creditor or other pumber if different from any. Baker & McK	, , ,	d state address and telephone by of power of attorney, if	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
Penalty	for presenting fraudulent	claim: Fine of up to \$500,000 or im	prisonment for up to 5 years, or	r both. 18 U.S.C. §§ 152 and 3571

Blocking reference Numbers

2788974031120910
6789062431120910
3668564840141910
1608843840141910 9590930931120910
4964845730120910
1206964430120910
5856455329120910
3976410730120910
1413051830120910
8462982930120910
0839794029120910
3643630829120910
5334602929120910
4957145228120910
7627820728120910
3915605527120910
9579104427120910
6720831826120910
1673343026120910
0378174426120910
3538805426120910
1631951327120910
1589903327120910
8585040726120910
2846620809120910
7824500025120910
9022311825120910
0828823325120910
2180374425120910
8476055625120910
5784805208120910
2694981606120910
5423114606120910
7113080006120910
4817213504120910
9433940105120910
5785573205120910
3468335103120910
7499484459110910
6756930200120910
6130061300120910
9360132500120910
0899420201120910
4155824701120910
2209980202120910
8881291802120910
2117153502120910
8597213403120910
7942142259110910
4071955043110910
3736291844110910
9179913744110910

Blocking reference Numbers
5379325144110910
8822100545110910
4890212445110910
6316615645110910
6268014458110910
3389030159110910
0539722442110910
6828102043110910
9399073443110910
1710030242110910
2774574641110910
7710333041110910
6312611941110910
9385140341110910
8015575040110910
9058635035110910
3749620636110910
2923832036110910
5580173536110910
2059685636110910
0759385137110910
9847341038110910
8039972538110910
8009923738110910
1362735139110910
6988463940110910
7385633940110910
7767573940110910
8134853940110910
8463533940110910
9050863940110910
3800691220110910
3485505919110910
1333241135110910
3397263335110910
1597863819110910
8579495418110910
8338901718110910
8093670318110910
0586813318110910
0134701619110910
1870574029110910
0524622817110910
1921891317110910
0971875316110910
5904353716110910
4962172216110910
4503990716110910
6795154515110910
3904505714110910
1483860814110910
6533824613110910
9300590312110910
3300330312110310

Blocking reference Numbers
2400822712110910
4503525912110910
0949183114110910
9511733409110910
5869662111110910
8286933911110910
0029185210110910
8946871008110910
6092963807110910
5691683806110910
7481724749090910

H A N D

 \mathbf{D}

E

L

E

R Y

RECEIVED BY:

DATE

11:15AM

TIME

subject to future amendment

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM Filed: USBC - Southern District of New York Filed: USBC - Southern District of New York		
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of Filed: USBC - So		
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009	OA COURT USE ONLY		
Luzerner Kantonalbank AG Baker & McKer Legal & Compliance Department att. Ira A. Reid Pilatusstrasse 12 1114 Avenue c OLL 6000 Luzern New York 100	I notices also to: nzie LLP Court Claim Number: (If known)		
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyonc else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.		
Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$\frac{3}{642}\frac{1006.60}{006.60}\$ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. International Securities Identification Number (ISIN): XSO269969027 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this			
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: Blocking numbers see attachment (Required)			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Euroclear Bank S.A., BE-Brussels Account number Suroclear Bank, Clearstream Bank or Other Depository Participant Account Number:			
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: Econsent to, and are deemed to have authorized, Euroclear Bank, Clearstream Edisclose your identity and holdings of Lehman Programs Securities to the Debreconciling claims and distributions.	By filing this claim, you Bank or other depository to stors for the purpose of FILED RECEIVED TO 2 2009		
Date. Signature: The person filing this claim must sign it. Sign of the creditor or other person authorized to file this claim number if different from the notice address above. Attach of any. Baker & McKenzie LLP Penalty for presenting fraudulent claim: Fine of up to \$500,000 or	and state address and telephone copy of power of attorney, if		

Blacking Deference Number
Blocking Reference Number
6044547
6052707
6044548
6052708
6044549
6044550
6044551
6044552
6044553
6044554
6044555
6044556
6044557
6044558
6044559
6044560
6044561
6044562
6044563
6044564
6044565
6044566
6044567
6044568
6044569
6044570
6044571
6044572
6044573
6044586
6044587
6044588
6044589
6044590
6044591
6044592
6044593
6044594
6044595
6044596
6044597
6044598
6044599
6044600
6044601
6044602
6044603
6044604
6044605
6044606
6044633
6044634
6044635

Blocking Reference Number
6044636
6044637
6044638
6044639
6044640
6044641
6044642
6044643
6044644
6044645
6044646
6044647
6044669
6044670
6044671
6044672
6044673
6044674
6044675
6044677
6044678
6044679
6044680
6044681
6044682
6044683

DR-Fep / 21.10.2009 D000034963.xls page 2 of 2

H A

N

D

D

E

L

I

E

R

Y

RECEIVED BY:

W23-09 DATE

11:15AM TIME

subject to future amendment

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
New York, NY 1 In Re: Lehman Brothers Debtors.	0150-5076 Chapter 11 Case No. 08-13 (Jointly Admin			C - Southern District of New York Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044605	
based on Lehn	m may not be used to file claims on the programs Securities as listed aman-docket.com as of July 17, 20	on	I THO STACE I	S FOR COOK! OUD SILE.	
Creditor) Luzerner Kantor Legal & Complia Pilatusstrasse 1 CH-6002 Luzer T: +41 41 206 2 Telephone numbe	ance Department 2 1 4 86 / Mail: peter.felder@lukb.ch	Please send al Baker & McKer att. Ira A. Reid 1114 Avenue of New York, 100 T: +1 212 626	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: Crnet.com Check this box if you are aware that anyone clse has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.					
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): XS0302350888 (Required)					
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:					
_	nbers see attachment	(Requi			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Euroclear Bank S.A., BE-Brussels Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:					
Account number 94218 (Required)					
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.					
Date. 2009, Oct. 23	Signature: The person filing this claim of the creditor or other person authorize number if different from the notice add any. Baker & McKenzie LLP for presenting fraudulent claim: Fine o	ed to file this claim a ress above. Attach c	opy of power of attorney, if	EPIQ BANKRUPTCY SOLUTIONS, LLC	
Danalty	for precenting fraudulent claim: Fine of	r un to \$500.000 or i	mprisonment for up to 3 years,	01 00000 10 00000 00	

Pleaking Peterones Number
Blocking Reference Number
6037671
6037674
6037709
6037710
6037711
6037712
6037713
6037714
6037715
6037716
6037717
6037718
6037719
6037720
6037722
6037723
6037725
6037728
6037731
6037734
6026458
6026457
6037871
6037872
6037873
6037874
6037875
6037876
6037877
6037878
6037879
6037880
6037881
6037882
6037883
6037884
6037885 6037886
6037886
6037887
6037888
6037889
6037890
6037891
6037892
6037893
6037894
6037895
6037896
6037898
6037899
6037900
6037901
6037902

Attachment to Proof of Claim ISIN XS0302350888

Blocking Reference Number
6037945
6037944
6037943
6037942
6037941
6037940
6037939
6037938
6037937
6037936
6037935
6051590
6037934
6037933
6037932
6052175

DR-Fep / 21.10.2009 D000034963.xls page 2 of 2

H A N

D

D E

L

I

V

E

R Y

76

RECEIVED BY:

W-23-C9

DATE

11:15AM

TIME

subject to future amendment

Lehman Brothers c/o Epiq Bankrup FDR Station, P.C			LEHMAN SEC PROC	URITIES PROGRAMS OF OF CLAIM
New York, NY I In Re: Lehman Brothers Debtors.	0150-5076 s Holdings Inc., et al.,	Chapter I I Case No. 08-13555 (JMP) (Jointly Administered)	Lehman Brothe	othern District of New York ers Holdings Inc., Et Al. 3555 (JMP) 0000044610
based on Lehi	rm may not be used t nan Programs Secur hman-docket.com as	o file claims other than those ties as listed on of July 17, 2009		
Creditor) Luzerner Kanto Legal & Compli Pilatusstrasse 1 CH-6002 Luzer F: +41 41 206 2 Telephone numb	nalbank AG ance Department I2 n 24 86 / Mail: peter.feld er: Ei	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 100 er@lukb.ch T: +1 212 626	notices also to: nzie LLP if the Americas	
Name and address	ss where payment should	be sent (if different from above) nail Address:		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Programs Securiand whether such dollars, using the you may attach a Amount of Clair Check this law the claim with rewhich this claim with rewhich this claim International Security 23. Provide the Clappropriate (each	ties as of September 15, 2 or claim matured or became exchange rate as applica schedule with the claim 4'328'264.52 or if the amount of clair International Securities Identification Necessities Identification Identification Necessities Identification Identification Identification Identification Identification Identification Identification Identification Identification I	1008, whether you owned the Lehman et fixed or liquidated before or after S ble on September 15, 2008. If you are amounts for each Lehman Programs (Required) In includes interest or other charges in dentification Number (ISIN) for each ehman Programs Security, you may a sumber (ISIN): Number (ISIN): XS02744434; Number, a Euroclear Bank Electron for each Lehman Programs Security what holds such security that holds such security that holds such security.	a Programs Securities on September 15, 2008. The claim is certember 15, 2008. The claim is certember 15, 2008. The claim relaptus accrued and accruing a permitted by agreement or addition to the principal amount Lehman Programs Security to valuach a schedule with the ISINs (Required) The Reference Number, or other defor which you are filing a claim.	at due on the Lehman Programs Securities. Which this claim relates. If you are filing for the Lehman Programs Securities to the Lehman Programs Securities.
than one Lehman relates. Clearstream Ba	Programs Security, you	may attach a schedule with the Block	ang Numbers for each Lenman	Programs Security to which this claim other depository blocking reference
number: Blocking nun	nbers see attachn	nent (Requir	ed)	
you are filing this accountholder (i. numbers. Euro	s claim. You must acquite. the bank, broker or oth oclear Bank S.A., Euroclear Bank, Clears	e the relevant Clearstream Bank, Euler entity that holds such securities on	your behalf). Beneficial holder Participant Account Number:	ar Lehman Programs Securities for which participant account number from your rs should not provide their personal account
5. Consent to Eu	roclear Bank, Clearstr	eam Bank or Other Depository: By	y filing this claim, you	FILED / RECEIVED
consent to, and at disclose your ide	re deemed to have author	ized, Euroclear Bank, Clearstream Bi man Programs Securities to the Debt	ank or other depository to	
Date.	Signature: The person	filing this claim must sign it. Sign a person authorized to file this claim and the notice address above. Attach concept LLP	nd state address and telephone	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
	for presenting fraudulen	t claim: Fine of up to \$500,000 or in	inprisonment for up to 5 years, o	or both. 18 U.S.C. §§ 152 and 3571

Attachment to Proof of Claim ISIN XS0274443422

<u></u>
Blocking Reference Number
6042964
6042966
6042968
6051588
6042969
6042970
6042971
6042972
6042973
6042974
6042975
6042976
6042978
6042979
6042981
6042982
6042984
6042985
6042986
6042987
6042989
6042990
6042991
6042992
6042993
6042994
6042995
6042996
6042997
6042998
6042999
6043001
6043002
6043003
6043004
6043005
6043006
6043007
6043011
6043012
6043013
6043014
6043015
6043016
6043017
6043018
6043019
6043020
6043021
6043022
6043023
6043024
6043025

. 08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 41 of 198

Attachment to Proof of Claim ISIN XS0274443422

Blocking Reference Number	
6043026	
6043027	
6043028	
6043029	
6043030	
6043031	
6043032	
6043033	

H A N

D

D

E L

I

V E

R Y

RECEIVED BY:

W23-09 DATE

11:15AM

Lehman Brothers			P	CURITIES PROGRAMS ROOF OF CLAIM USBC - Southern District of New York
In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		hman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044722
Note: This fo	n Lehman Program	I to file claims other than as Securities as listed on as of July 17, 2009	THIS SPA	CE IS FOR COURT USE ONLY
Name and addres from Creditor)	s of Creditor: (and name	and address where notices should l	be sent if different	☐ Check this box to indicate that this claim amends a previously filed claim.
Inversiones Nhet Att: José Luis Na C/ San Francisco 28003 Madrid, S	avarro Iglesias de Sales 31			Court Claim Number: (If known) Filed on:
Telephone numb	er: +34 91 533 48 00	Email Address:		
Name and addres	s where payment should	be sent (if different from above)		☐ Check this box if you are aware that anyone else has filed a proof of claim
Banco Banif, S.A	: ES10008651469900100 A. set 1, 28006 Madrid, Spa			relating to your claim. Attach copy of statement giving particulars.
Telenhone numb	er: +34 91 520 86 50	Email Address:		
Lehman Program them thereafter, stated in United S one Lehman Program	ns Securities as of Septen and whether such claim r States dollars, using the e grams Security, you may	nber 15, 2008, whether you owned natured or became fixed or liquida xchange rate as applicable on Sept	the Lehman Programs S ted before or after Septe ember 15, 2008. If you a mounts for each Lehman	nt must be the amount owed under your securities on September 15, 2008 or acquired mber 15, 2008. The claim amount must be re filing this claim with respect to more than Programs Security to which this claim relates.
	•			
Securities.				cipal amount due on the Lehman Programs
filing this claim				urity to which this claim relates. If you are le with the ISINs for the Lehman Programs
International Se	curities Identification N	umber (ISIN): See attached Add	endum to Proof of Clai	m.
number, as appro Blocking Number with respect to m	priate (each, a "Blocking or from your accounthold	g Number") for each Lehman Proger (i.e. the bank, broker or other en	rams Security for which tity that holds such secur	, or other depository blocking reference you are filing a claim. You must acquire a ities on your behalf). If you are filing this claim ing Numbers for each Lehman Programs
Clearstream Ba reference numb	,	uroclear Bank Electronic Instru	ction Reference Numbe	r and or other depository blocking
See attached Ad	Idendum to Proof of Cla	aim.		
4. Provide the which you are fil	Clearstream Bank, Euroc ling this claim. You must ntholder (i.e. the bank, br	lear Bank or other depository partial acquire the relevant Clearstream	Bank, Euroclear Bank or	elated to your Lehman Programs Securities for other depository participant account number (). Beneficial holders should not provide their
Accountholders	Euroclear Bank, Clears	stream Bank or Other Depositor	y Participant Account N	Number:
See attached Ad	ldendum to Proof of Cla	aim.		
claim, you conse	nt to, and are deemed to l	tream Bank or Other Depository nave authorized, Euroclear Bank, C and holdings of Lehman Programs tims and distributions	learstream Bank or	OCT 2 3 2009
Date. October 15,	Signature: MS. María Segimón, a	s Attorney See attached Adden	dum to Proof of	EPIQ BANKRUPTCY SOLUTIONS, LLC
2009.	Claim for additional i			

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by Inversiones Nhet, S.L. ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US) 1251 Avenue of the Americas New York, New York 10020 Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

ISIN	Blocking Reference #	Depository participant account #
XS0258901759	6036177	EUROCLEAR # 22829
XS0286018758	6036178	EUROCLEAR # 22829

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force.

domiciled at CL SAN FRANCISCO DE SALES 31 28003 MADRID, acting on behalf of INVERSIONES NHET S.L. (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./D. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en CL SAN FRANCISCO DE SALES 31 28003 MADRID, actuando en nombre de INVERSIONES NHET S.L. (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) Dº. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



- (a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.
 - In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.
- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of

- (a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.
 - En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones, acciones. demandas, denuncias, querellas, acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.
- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades, ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los

approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on

September 2009.

En MADRID, a de septiembre de 2009.

INVERSIONES NHET S.L. THE GRANTOR

(signature/s)

JOSE LUIS NAVARRO IGLESIAS

INVERSIONES NHET S.L. EL OTORGANTE

(firma/s

JOSE LUIS NAVARRO IGLESIAS

, 08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 50 of 198

H A N D

D E L

I 17

E

R Y

TP

RECEIVED BY:

10/23 DATE

12.24 TIME

Lehman Brother		-	ew York	P	CURITIES PROGRAMS ROOF OF CLAIM
In Re: Lehman Brother: Debtors.	s Holdings Inc., et al.,	Chapter 11 Case No. 08- (Jointly Adn	-13555 (JMP) ninistered)	L Filed	t: USBC - Southern District of New York ehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044803
those based of	orm may not be used on Lehman Program ehman-docket.com	is Securitie	s as listed on	THIS SPA	CE IS FOR COURT USE ONLY
Name and address from Creditor)	ss of Creditor: (and name	and address w	here notices should	be sent if different	☐ Check this box to indicate that this claim amends a previously filed claim.
José Luis Navarr C/ San Francisco 28003 Madrid, S	de Sales 31				Court Claim Number: (If known) Filed on:
	er: +34 91 533 48 00	Email Addres			
Account number Banco Banif, S.A	ss where payment should : ES15008651469700100 A. set 1, 28006 Madrid, Spa	005733	erent from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone numb	er: +34 91 520 86 50	Email Addres	s:		
Lehman Program them thereafter, stated in United one Lehman Pro Amount of Clai Check this Securities.	ns Securities as of Septen and whether such claim re States dollars, using the e grams Security, you may m: \$ Unliquidated - see box if the amount of claim ternational Securities Id	nber 15, 2008, natured or bec xchange rate a attach a sched attached Ade m includes int entification Nu	whether you owned ame fixed or liquida s applicable on Sept ule with the claim and dendum to Proof of erest or other charge umber (ISIN) for eac	the Lehman Programs S ted before or after Septe ember 15, 2008. If you a mounts for each Lehman f Claim. es in addition to the princ h Lehman Programs Seco	nt must be the amount owed under your Securities on September 15, 2008 or acquired mber 15, 2008. The claim amount must be re filing this claim with respect to more than Programs Security to which this claim relates. Sipal amount due on the Lehman Programs urity to which this claim relates. If you are
Securities to whi	ch this claim relates.			·	le with the ISINs for the Lehman Programs
	curities Identification N				
number, as appro Blocking Number with respect to m Security to which	priate (each, a "Blocking er from your accountholde fore than one Lehman Pro h this claim relates. nk Blocking Number, E	g Number") for er (i.e. the bank ograms Securit	r each Lehman Prog c, broker or other ent y, you may attach a	rams Security for which ity that holds such securi schedule with the Block	, or other depository blocking reference you are filing a claim. You must acquire a ities on your behalf). If you are filing this claim ing Numbers for each Lehman Programs r and or other depository blocking
See attached Ad	ldendum to Proof of Cla	ıim.			
4. Provide the which you are file	Clearstream Bank, Euroc ling this claim. You must ntholder (i.e. the bank, bro	lear Bank or of acquire the re	levant Clearstream I	Bank, Euroclear Bank or	elated to your Lehman Programs Securities for other depository participant account number. Beneficial holders should not provide their
Accountholders	Euroclear Bank, Clears	tream Bank o	or Other Depository	Participant Account N	lumber:
See attached Ad	ldendum to Proof of Cla	ıim.			
claim, you conse other depository	Euroclear Bank, Clears nt to, and are deemed to h to disclose your identity a urpose of reconciling cla	nave authorized and holdings o	l, Euroclear Bank, C f Lehman Programs	learstream Bank or	FILED / RECEIVED
Date. October 15,	Signature:	2 M	mus		OCT 2 3 2009
2009. Ms. María Segimón, as Attorney See attached Addendum to Proof of Claim for additional information.					EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing

c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150- 5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

__INFORMATION_

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by José Luis Navarro Iglesias ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US)
1251 Avenue of the Americas
New York, New York 10020
Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

ISIN	Blocking Reference #	Depository participant account #		
XS0258901759	6036176	EUROCLEAR # 22829		

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force,

domiciled at PS SAN FRANCISCO DE SALES 31, 28003 MADRID, acting in his own name and interest (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./Da. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en PS SAN FRANCISCO DE SALES 31, 28003 MADRID, actuando en su propio nombre y derecho (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) D^a. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2^a planta, y provisto de D.N.L nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



(a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.

In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.

- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or

(a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.

En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones. acciones. demandas, denuncias, querellas. acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.

- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades. ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar

expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on September 2009.

En MADRID, a de septiembre de 2009.

J. Kavano.

(firma)

(signature)

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS

THE GRANTOR

D./D" JOSE LUIS NAVARRO IGLESIAS EL OTORGANTE 08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 58 of 198

H A N D

D E L I V E

R Y

RECEIVED BY:

10/23 DATE

12.24 TIME

United States Bankruptcy Court/Souther	n District of New York	. =	OLIDITIES PROSENIAS
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076			CURITIES PROGRAMS OF OF CLAIM
New York, NY 10150-5076	Chantar 11		
In Re: Lehman Brothers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)		- Southern District of New York
Debtors.	(Jointly Administered)	1	3rothers Holdings Inc., Et Al. 08-13555 (JMP) 0000045214
Note: This form may not be used to fi on Lehman Programs Securities as lis docket.com as of July 17, 2009			
Name and address of Creditor: (and name Creditor)	and address where notices should be	sent if different from	☐ Check this box to indicate that this claim amends a previously filed claim.
Theodoor Gilissen Global Custody N.V. Keizersgracht 617	•		Court Claim Number:
1017 DS AMSTERDAM, The Netherla	nds		Filed on:
Telephone number: +31 20 5276000	Email Address: tgservices@giliss	en.nl	
Name and address where payment should			Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number:	Email Address:		
and whether such claim matured or becam dollars, using the exchange rate as applica you may attach a schedule with the claim Amount of Claim: See attached	2008, whether you owned the Lehman refixed or liquidated before or after Suble on September 15, 2008. If you are amounts for each Lehman Programs Subjective (Required)	Programs Securities on Septer eptember 15, 2008. The claim refiling this claim with respect Security to which this claim rel	mber 15, 2008 or acquired them thereafter, amount must be stated in United States to more than one Lehman Programs Security, lates.
Check this box if the amount of claim i	ncludes interest or other charges in ad	dition to the principal amount	due on the Lehman Programs Securities.
2. Provide the International Securities Ide claim with respect to more than one Lehn this claim relates.	entification Number (ISIN) for each L nan Programs Security, you may attac	ehman Programs Security to w h a schedule with the ISINs for	hich this claim relates. If you are filing this the Lehman Programs Securities to which
International Securities Identification	Number (ISIN): See attached	(Require	ed)
appropriate (each, a "Blocking Number")	for each Lehman Programs Security for other entity that holds such securities a schedule with the Blocking Num	or which you are filing a claim es on your behalf). If you are f bers for each Lehman Program	
See attached (R	Required)		
4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acquir accountholder (i.e. the bank, broker or oth numbers. Accountholders Euroclear Bank, Clear	ar Bank or other depository participar te the relevant Clearstream Bank, Euro her entity that holds such securities on stream Bank or Other Depository F	oclear Bank or other depository your behalf). Beneficial holde	ers should not provide their personal account
	Required)		
October 21st, 2009 the creditor or other person number it different from the Mister fix by G.C.D. Vers	rized, Euroclear Bank, Clearstream Banman Programs Securities to the Debtong this claim must sign it. Sign and programs authorized to file this alanguard state to notice address above. Attaching the state of the notice address above. Attaching the state of the notice address above.	rint name and title, if any, of e address and telephone of power of attorney, if any.	FILED / RECEIVED OCT 2 3 7009 EPIO BANKRUPTET SOLUTIONS ILE
renally for presenting fraudule	ent claim: Fine of up to \$500,000 or in	inprisonment for up to 3 years,	or boni. to 0.0.0. 88 132 and 33/1

Chapter 11
Case No. 08-13555 (JMP)
(Jointly Administered)

INITED STATES DANIEDIDTOV COLDT

ANNEX TO PROOF OF CLAIM OF THEODOOR GILISSEN GLOBAL CUSTODY N.V.

- 1. <u>Claimant</u>. Theodoor Gilissen Global Custody N.V., custodian and holder of account 51/267148/29 with KBL European Private Bankers S.A., Luxemburg, holder of account 13048 with Clearstream Luxemburg (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Clearstream blocking reference number and the Clearstream account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. Evidence of the ownership of the

have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 2. <u>Claim.</u> The Claimant is the holder of certain securities described more fully in Exhibit 1 hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 114,469,619.48 plus, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN	Clearstream blocking	Clearstream	Nominal Amount	Nominal Amount
[1	reference number	account number	(EUR)	(USD)*
		Account in the name of		
		KBL European Private		
		Bankers S.A., Luxemburg.		
		Securities held on behalf of		
		custodian Theodoor Gilissen	}	
		Global Custody N.V.,		
		Amsterdam, Holland		
XS0346707903	CA69354	13048	641,000.00	910,284.10
XS0295760093	CA69339	13048	1,587,000.00	2,253,698.70
XS0355133454	CA69358	13048	3,162,000.00	4,490,356.20
XS0379217184	CA69336	13048	20,000.00	28,402.00
XS0273084656	CA69337	13048	554,000.00	786,735.40
XS0332049815	CA69340	13048	1,715,000.00	2,435,471.50
XS0285922133	CA69353	13048	3,836,000.00	5,447,503.60
XS0375356648	CA69345	13048	255,000.00	362,125.50
XS0305948860	CA69344	13048	4,825,000.00	6,851,982.50
XS0314067140	CA76209	13048	1,499,000.00	2,128,729.90
XS0317240157	CA69352	13048	2,325,000.00	3,301,732.50
XS0339810078	CA69343	13048	1,177,000.00	1,671,457.70
XS0287672694	CA69355	13048	5,467,000.00	7,763,686.70
XS0296281735	CA69359	13048	8,970,000.00	12,738,297.00
XS0332050078	CA69338	13048	1,357,000.00	1,927,075.70
XS0377288450	CA69360	13048	14,000.00	19,881.40
XS0368669007	CA69357	13048	3,543,000.00	5,031,414.30
XS0263715467	CA69346	13048	10,430,000.00	14,811,643.00
XS0276441044	CA69347	13048	11,284,000.00	16,024,408.40
XS0327236757	CA69350	13048	5,483,000.00	7,786,408.30
XS0296067142	CA69356	13048	996,000.00	1,414,419.60
XS0365839835	CA69349	13048	1,030,000.00	1,462,703.00
XS0332049229	CA69342	13048	1,597,000.00	2,267,899.70
XS0373219582	CA69348	13048	755,000.00	1,072,175.50
XS0349530823	CA69341	13048	200,000.00	284,020.00
XS0346080590	CA69351	13048	6,196,000.00	8,798,939.60

TOTALS 78,918,000.00 112,071,451.80

^{*} Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

EXHIBIT 2

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0346707903	641,000.00	-	641,000.00	910,284.10	<u>-</u>	910,284.10
XS0295760093	1,587,000.00	-	1,587,000.00	2,253,698.70	-	2,253,698.70
XS0355133454	3,162,000.00	-	3,162,000.00	4,490,356.20	-	4,490,356.20
XS0379217184	20,000.00	-	20,000.00	28,402.00	-	28,402.00
XS0273084656	554,000.00	-	554,000.00	786,735.40	-]	786,735.40
XS0332049815	1,715,000.00	-	1,715,000.00	2,435,471.50	-	2,435,471.50
XS0285922133	3,836,000.00	-	3,836,000.00	5,447,503.60	-	5,447,503.60
XS0375356648	255,000.00	-	255,000.00	362,125.50	-]	362,125.50
XS0305948860	4,825,000.00	-	4,825,000.00	6,851,982.50		6,851,982.50
XS0314067140	1,499,000.00	-	1,499,000.00	2,128,729.90	-	2,128,729.90
XS0317240157	2,325,000.00	-	2,325,000.00	3,301,732.50	-	3,301,732.50
XS0339810078	1,177,000.00	-	1,177,000.00	1,671,457.70	-	1,671,457.70
XS0287672694	5,467,000.00	-	5,467,000.00	7,763,686.70	-	7,763,686.70
XS0296281735	8,970,000.00	-	8,970,000.00	12,738,297.00	-	12,738,297.00
XS0332050078	1,357,000.00	-	1,357,000.00	1,927,075.70	-	1,927,075.70
XS0377288450	14,000.00	-	14,000.00	19,881.40	-	19,881.40
XS0368669007	3,543,000.00	202,457.14	3,745,457.14	5,031,414.30	287,509.39	5,318,923.69
XS0263715467	10,430,000.00	763,533.15	11,193,533.15	14,811,643.00	1,084,293.43	15,895,936.43
XS0276441044	11,284,000.00	519,373.15	11,803,373.15	16,024,408.40	737,561.81	16,761,970.21
XS0327236757	5,483,000.00	-	5,483,000.00	7,786,408.30	-	7,786,408.30
XS0296067142	996,000.00	-	996,000.00	1,414,419.60	-	1,414,419.60
XS0365839835	1,030,000.00	-	1,030,000.00	1,462,703.00	-	1,462,703.00
XS0332049229	1,597,000.00	33,843.27	1,630,843.27	2,267,899.70	48,060.83	2,315,960.53
XS0373219582	755,000.00	15,999.79	770,999.79	1,072,175.50	22,721.31	1,094,896.81
XS0349530823	200,000.00	3,463.01	203,463.01	284,020.00	4,917.83	288,937.83
XS0346080590	6,196,000.00	150,062.03	6,346,062.03	8,798,939.60	213,103.09	9,012,042.69

TOTALS 78,918,000.00 1,688,731.55 80,606,731.55 112,071,451.80 2,398,167.68 114,469,619.48

^{*} Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

06/08/2005

16:41

LEHMAN → 916467582653

NO.504

DØ1

EXHIBIT 3

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

HD. 290 004 ND. 504 002

08.89.2005 25:11 <u>I-414. ~ 912627</u>200594 **26/86/2005** 16:41 (I-414N + 3164675**22**603

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedulc A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an arrowney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Anthorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

based: June 9, 2005

Richard S Puld Jr.

John D. Macomber

06/08/2005 16:41 LEHMAN → 916467582653

NO.504

D03

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

EXHIBIT 4



TO WHOM IT MAY CONCERN:

Kefzersgracht 617 1017 DS Amsterdinn Postbus 3325 1003 AC Amsterdam 1+31 (0)20 527 60 00 r +31 (0) 20 527 60 60 info@gilissen.nl www.gilissen.nl

DECLARATION

Theodoor Gilissen Global Custody N.V. ('TGGC') is custodian and accountholder (accountnumber 51/267148/29) with KBL European Private Bankers S.A., Luxemburg ('KBL'). KBL is holder of account 13048 with Clearstream Luxemburg. At present, all securities under the Lehman Brothers Securities Program held by TGGC, for which a blocking number is applied for, are registered on their account (51/267148/29) with KBL. These securitles are being held in the name of KBL with Clearstream on account 13048.

AMSTERDAM/LUXEMBOURG, 15th of October 2009,

Theodoor Gilissen Global Custody N.V.,

drs. ir. G.C.D. Versteeg

drs. C.A. Heijne RA CPC

Harven Hijn

director Theodoor Gilissen Global Custody N.V. director Theodoor Gilissen Global Custody N.V.

KBL European Private Bankers S.A.,

Járomá Gendarme

Head of Custody

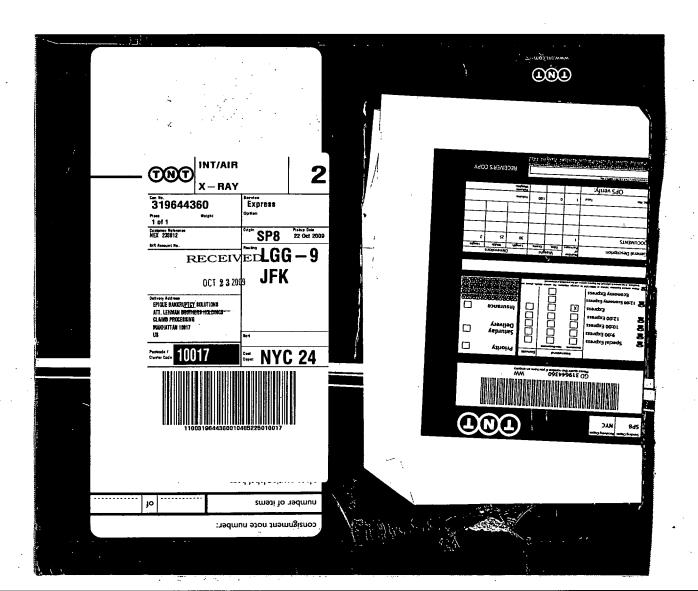
Philippe VAN DOOREN HEAD OF OPERATIONS

EUROPEAN PRIVATE BANKERS

THEODOGR GILLSSEN BANKIERS H.Y.

Statulate gevestigd to Amsterdara Amsterdam Handelsteglaler pr. 13004157

till van ERL European Pilvate Sankers



08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment Pa 70 of 198 United States Bankruptcy Court/Southern District of New York **LEHMAN SECURITIES PROGRAMS** Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC **PROOF OF CLAIM** FDR Station, P.O. Box 5076 New York, NY 10150-5076 In Re: Chapter 11 Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) 08-13555 (JMP) 0000048734 Debtors. (Jointly Administered) Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-LY docket.com as of July 17, 2009 Name and address of Creditor: (and name and address where notices should be sent if different from ☐ Check this box to indicate that this Creditor) claim amends a previously filed claim. KAS BANK N.V. Court Claim Number: Spuistraat 172 (*If known*) 1012 VT AMSTERDAM, The Netherlands Filed on: Telephone number: +31 20 5575911 Email Address: KASBANK@lehmanclaim.nl Name and address where payment should be sent (if different from above) ☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: See attached _ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): See attached (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: See attached (Required) Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: See attached (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of

reconciling claims and distributions.

October 26st, 2009

Date.

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state autress and telephone number if different from the notice address above. Attach control of actorney, if any.

Name: Mr N.E. Blom

Mr R.J. Kodingan

FILED / RECEIVED OCT 27 2009

FOR COUR

EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudule lightarm: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	X Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF KAS BANK N.V.

- 1. <u>Claimant</u>. KAS BANK N.V. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Euroclear blocking reference number and the Euroclear account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. Evidence of the ownership of the Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of

the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is the holder of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$82,684,969.72 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts compromising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect

to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN	Euroclear blocking	Euroclear account number	Nominal Amount	Nominal Amount
	reference number	Account in the name of	(EUR)	(USD)*
		KAS BANK		
		Effectenbewaarbedrijf N.V.,		
		Amsterdam, The Netherlands		
		(also trading under the name		
		of KAS Depository Trust		
		Company)		
XS0263715467	6035720	90023	3,924,000.00	5,572,472.40
XS0273084656	6033589	90023	1,133,000.00	1,608,973.30
XS0276441044	6033755	90023	13,463,000.00	19,118,806.30
XS0285922133	6034019	90023	759,000.00	1,077,855.90
XS0287672694	6034022	90023	6,070,000.00	8,620,007.00
XS0295760093	6034023	90023	811,000.00	1,151,701.10
XS0296067142	6034025	90023	2,096,000.00	2,976,529.60
XS0296281735	6034028	90023	4,431,000.00	6,292,463.10
XS0305948860	6034029	90023	8,100,000.00	11,502,810.00
XS0314067140	6034030	90023	1,808,000.00	2,567,540.80
XS0317240157	6034032	90023	4,026,000.00	5,717,322.60
XS0327236757	6034033	90023	2,053,000.00	2,915,465.30
XS0332049229	6034042	90023	2,326,000.00	3,303,152.60
XS0332049815	6034043	90023	2,180,000.00	3,095,818.00
XS0332050078	6034044	90023	58,000.00	82,365.80
XS0339810078	6034047	90023	321,000.00	455,852.10
XS0346080590	6034048	90023	25,000.00	35,502.50
XS0346707903	6034061	90023	476,000.00	675,967.60
XS0349530823	6034062	90023	798,000.00	1,133,239.80
XS0368669007	6034063	90023	2,255,000.00	3,202,325.50
XS0373219582	6034065	90023	12,000.00	17,041.20

Total 57,125,000.00 81,123,212.50

^{*} Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

EXHIBIT 2

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0263715467	3,924,000.00	287,258.30	4,211,258.30	5,572,472.40	407,935.51	5,980,407.91
XS0273084656	1,133,000.00	-	1,133,000.00	1,608,973.30	<u>-</u>	1,608,973.30
XS0276441044	13,463,000.00	619,666.85	14,082,666.85	19,118,806.30	879,988.89	19,998,795.19
XS0285922133	759,000.00	-	759,000.00	1,077,855.90	-	1,077,855.90
XS0287672694	6,070,000.00	-	6,070,000.00	8,620,007.00	-	8,620,007.00
XS0295760093	811,000.00	-	811,000.00	1,151,701.10	-	1,151,701.10
XS0296067142	2,096,000.00	-	2,096,000.00	2,976,529.60	-	2,976,529.60
XS0296281735	4,431,000.00	-	4,431,000.00	6,292,463.10	_	6,292,463.10
XS0305948860	8,100,000.00	-	8,100,000.00	11,502,810.00	-	11,502,810.00
XS0314067140	1,808,000.00	-	1,808,000.00	2,567,540.80	-	2,567,540.80
XS0317240157	4,026,000.00	-	4,026,000.00	5,717,322.60	-	5,717,322.60
XS0327236757	2,053,000.00	-	2,053,000.00	2,915,465.30	_	2,915,465.30
XS0332049229	2,326,000.00	49,292.08	2,375,292.08	3,303,152.60	69,999.69	3,373,152.29
XS0332049815	2,180,000.00	-	2,180,000.00	3,095,818.00	<u>-</u>	3,095,818.00
XS0332050078	58,000.00	-	58,000.00	82,365.80	-	82,365.80
XS0339810078	321,000.00	-	321,000.00	455,852.10	-	455,852.10
XS0346080590	25,000.00	605.48	25,605.48	35,502.50	859.84	36,362.34
XS0346707903	476,000.00	-	476,000.00	675,967.60	-	675,967.60
XS0349530823	798,000.00	13,817.42	811,817.42	1,133,239.80	19,622.12	1,152,861.92
XS0368669007	2,255,000.00	128,857.14	2,383,857.14	3,202,325.50	182,990.03	3,385,315.53
XS0373219582	12,000.00	254.30	12,254.30	17,041.20	361.13	17,402.33

Total

57,125,000.00

1,099,751.58

58,224,751.58

81,123,212.50

1,561,757.22

82,684,969.72

^{*} Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

NO.504 D01

EXHIBIT 3

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

ND.2' ND.524

NO.290 004

06. 89. 2005 29:00 <u>174'6</u> ~ 9:27823280694 26/96/2005 16:41 (E-MAN + 316467529655

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being sutherized to approve individual guarantees issued by the Corporation with respect to Gueranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Richard S Fuld Jr.

John D. Macomber

16:41

NO.504

D03

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

EXHIBIT 4

To whom it may concern:

DECLARATION

KAS BANK Effectenbewaarbedrijf N.V. (also trading under the name KAS Depository Trust Company and hereinafter referred to as KDTC) is custodian and holder of account 90023 with Euroclear. KAS BANK N.V. (the Claimant) owns 100% of the shares in KDTC.

The Claimant is authorised to act on behalf of KDTC pursuant to the Rules Concerning Custody of Securities held by KDTC (the KDTC Rules).

The Claimant uses the services of KDTC for the custody of certain types of securities, in fulfilment of the obligation imposed on the Claimant by Netherlands law and regulations to protect the proprietary interests of its clients in respect of securities placed in custody with it. Since the Anglo-American legal concept of ownership of property by way of trust (or any other similar equitable interest) is not known under Netherlands law, the general position is that securities held by the Claimant for its clients but in its name will form a part of the assets of the Claimant and will therefore fall into its bankruptcy estate unless specific measures to protect the proprietary interests of its clients are taken. This is why such securities are held by KDTC. KDTC's sole object is the custody of securities on behalf of the Claimant's clients and it is prohibited by its Articles of Association from incurring any commercial risk in the conduct of its business. Custody of securities that are held by KDTC for the client's protection is subject to the KDTC Rules. According to Clause 2 of the KDTC Rules the Claimant shall act with respect to the securities held by KDTC on behalf of the clients in accordance with the instructions given by those clients. Under the KDTC Rules, the client has a direct claim on KDTC in respect of the securities held by KDTC on the client's behalf. Since this direct claim would not be affected by bankruptcy of the Claimant under Dutch bankruptcy law, the client's position with regard to securities held by KDTC is safeguarded.

Amsterdam, 26 October 2009

Name: drs. N.E. Blom

KAS BANK N.V.

le: R.J. Kooijman RA

AS BANK N.V.

		Englanding Mexicon) (i = j		Date: Time:	te: (Day/Month/Year)	Date:
RECEIVER'S COPY							
				Receiver's VAT / TVA / BTW / MWST No.	Received by TNT	Signature Sender Received	Si
	Weights:			OPS verity:	ND THE CMR	CARRIAGE OF THIS CONSIGNMENT IS SUBJECT TO THE FERMS AND CONDITIONS ON THE REVERSE (ALWAYS THE LATEST VERSION, SEE <u>MAWA, TINTEXPRESSAN</u>). WHICH LIMITS TINTS LIABILITY IN ACCORDANCE WITH THE WARSAW CONVENTION AND THE CMB.	A P C
		0 100	_	Stat. No. Total	us goods? Yes No X	Does this consignment contain any dangerous goods?	
					ich nes (maineare) //	A CONTRACT OF THE PARTY OF THE	
					Country:	Province/Region:	
					Postal / Zip Code:	•	° O
10 1	20		1	DOCS	001 27 2009	0127	
Width Height	ms Length	Weight Kilos Gra	of Packages	General Description		Address:	A
			Number		CELVED		> Z
					Tel. No. (mandatory): 0 0	Contact Name: 00	Co
	ls, please see	nt. For service detail and invoiced.	arrange shipmei e will be provided	Please contact Customer Service at 0800-1234 to arrange shipment. For service details, please se brochure. If no service is selected, the Express service will be provided and invoiced.	Country: US	Province/Region: NY	Pr
がは、水がないなどで				Economy Express	Postal / Zip Code: 10017	ty: MANHATTAN	City:
3-12-512-12-12-12-12-12-12-12-12-12-12-12-12-1	10 (A) (A)			12:00 Economy Express		757 3RD AV , 3RD FLOOR	
nsurance	Insu		×	Express		CLAIMS PROCESSING	
][12:00 Express	OLDINGS	Address: ATT. LEHMAN BROTHERS HOLDINGS	A
•][UTIONS	Name: EPIQUE BANKRUPTCY SOLUTIONS	Z
Delivery] [Deli			9.00 Express			
Saturdav	□ □ Satu][][ų.	Tel. No. (mandatory): 020 6388226	Contact Name: MAARRTEN DU MEE	Co
		Non-Documents	Documents No		Country: NL	Province/Region:	Pr
Priority	Domestic Prio		International		Postal / Zip Code: 1017 BT	ty: AMSTERDAM	City:
	WW e an enquiry.	GD 319826544 WW Please quote this number if you have an enquiry.	319826544 e quote this number	GD 3 Please q		Address: HERENGRACHT 493	A
						Name: WIJS ? VAN OOSTVEEN	Z
						3. Customer Reference	3
						Receiver's account number	<u> </u>
				SP8 NYC	SENDER LIABLE FOR UNPAID CHARGES	2. Invoice to Receiver	2
				Sending Depot Receiving Depot	000062508	1. Sender's Account Number	

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM				
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000048817				
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009					
Name and address of Creditor: (and name and address where notices should be Creditor)	e sent if different from Check this box to indicate that this claim amends a previously filed claim.				
ZNU NV	Court Claim Number:				
VLuchten burgstraat 7	(If known)				
B-2630 AARTSELAAR	Filed on:				
Telephone number: 003239704400Email Address: marc. Stoam					
Name and address where payment should be sent (if different from above)	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.				
Telephone number: Email Address:					
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$					
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): XSO348560524 (Required)					
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.					
Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:					
CA 65733 (Require	red)				
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.					
Accountholders Euroclear Bank, Clearstream Bank or Other Depository	Participant Account Number:				
16624 (Require					
Signature: The person filing this claim must sign it. Sign a of the creditor or other person authorized to file this claim a	ank or other depository to tors for the purpose of FILED / RECEIVED and print name and title, if any, OCT 2 7 2009				
16/10/2009 number if different from the notice address above. Attach co	ppy of power of attorney, if EPIQ BANKRUPTCY SOLUTIONS, LLC				
Penalty for presenting fraudulent claim. Fine of up to \$500,000 or in	mprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571				

Attachment

KBC Bank Head Office



Clientsupport / Custody

VBN - Havenlaan 2 - 1080 Brussels

AFFIDAVIT OF OWNERSHIP **LEHMAN BROTHERS**

September 11th 2009

KBC BANK NV, Havenlaan 2, 1080 BRUSSELS, declares that our client

ZNU **VLUCHTENBURGSTRAAT 7** B 2630 AARTSELAAR

had a position of 1,000,000 EUR with isin-code XS0348560524

on his accountnumber 727-9197736-61 on 11 September 2009.

Bart Bal **VBN / Clientsupport** Paul Hendrix Head of VBN / Clientsupport

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076 USA



Zuidnederlandse Uitgeverij N.V. Vluchtenburgstraat 7, B-2630 Aartselaar (Belgium)

2630 22.10.09-10

AARTOELAAR

€ 8,95 PRIOR INTL

DELTAS CHANTECLER







RECEIVED

OCT 2 7 2009





08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 85 of 198

			110000000000000000000000000000000000000	
United States B	ankruptcy Court/Southern Dis	trict of New York		CECUPITIES PROCEAMS
Lehman Brother	rs Holdings Claims Processing	Center	The state of the s	SECURITIES PROGRAMS
	iptcy Solutions, LLC		LUCK C	outhern District of New York
FDR Station, P. New York, NY			Lohman Brot	hers Holdings inc., 22
In Re:	10130-3070	Chapter 11	08	13555 (JMP) 0000049740
	rs Holdings Inc., et al.,	Case No. 08-13555 (JMP)		
Debtors.		(Jointly Administered)		
Note: This form Programs Secur	may not be used to file claims ities as listed on http://www.lch	other than those based on Lehman <u>man-docket.com</u> as of July 17, 2009		
Name and addre	ess of Creditor: (and name and a	ddress where notices should be sent if dif	ferent from Country	☐ Check this box to indicate that this claim amends a previously filed claim.
Banca Aletti &	C. S.p.A.			Court Claim Number:
Via Santo Spirit	to, 14			(If known)
20121 Milano Italy				
	Massimo Zerbino			
		•		Filed on:
Telephone num	ber: +39 0243358107	Email Address: massimo.zerbino@alen	tibank.it	
	ess where payment should be se			☐ Check this box if you are aware that anyone
Name and addit	ess where payment should be se	iii (ii diiiciciii iiciii docio)		else has filed a proof of claim relating to your
				claim. Attach copy of statement giving
				particulars.
Telephone num		Email Address:		
1. Provide the	total amount of your claim base	d on Lehman Programs Securities. Your	claim amount must be the amount	owed under your Lehman Programs Securities as of ter, and whether such claim matured or became
fixed or liquids	ted before or after September 1	5 2008 The claim amount must be stated	l in United States dollars, using the	exchange rate as applicable on September 15,
2008. If you ar	e filing this claim with respect t	o more than one Lehman Programs Secur	ity, you may attach a schedule with	the claim amounts for each Lehman Programs
	ch this claim relates.			
Amount of Cla	im: See attached	(Required)		
		des interest or other charges in addition to	the principal amount due on the L	ehman Programs Securities.
				m relates. If you are filing this claim with respect to
2. Provide the	International Securities Identific	may attach a schedule with the ISINs for	the Lehman Programs Securities t	o which this claim relates.
more than one i	Dominan i rograms Scoting, you	may and a series of the series		
International S	Securities Identification Numb	oer (ISIN): See attached	(Required)	
2 Provide the	Teamtream Bank Blocking Nur	ober a Euroclear Bank Electronic Referer	nce Number, or other depository bl	ocking reference number, as appropriate (each, a
"Dlooking Norm	shor") for each Lehman Program	as Security for which you are filing a clair	 You must acquire a Blocking N 	umber from your accountholder (i.e. the bank,
hroker or other	entity that holds such securities	on your behalf). If you are filing this clai	m with respect to more than one L	ehman Programs Security, you may attach a
schedule with t	he Blocking Numbers for each	Lehman Programs Security to which this o	ciaim relates.	
Clearstream B	tank Blocking Number, Euroc	lear Bank Electronic Instruction Refer	ence Number and or other depos	itory blocking reference number:
Clear stream L	and Diocening Plantoco, 2010		•	
See attached	(Requi			
4. Provide the (Clearstream Bank, Euroclear Ba	nk or other depository participant account	t number related to your Lehman P	rograms Securities for which you are filing this
claim You mus	st acquire the relevant Clearstre	am Bank, Euroclear Bank or other deposit	tory participant account number fro	om your accountholder (i.e. the bank, broker or other
1 -). Beneficial holders should not provide the		
		m Bank or Other Depository Participa	nt Account Number:	
See attached	(Requi			COD COLUMN LIGHT ONLY
5. Consent to	Euroclear Bank, Clearstream	Bank or Other Depository: By filing the learstream Bank or other depository to dis	ns claim, you consent to, and are	FOR COURT USE ONLY FILED / RECEIVED
of Lehman Pro	e authorized, Euroclear Bank, C	for the purpose of reconciling claims and	distributions.	FILED NEGELVED
		this claim must sign it. Sign and print nam		
Date October	or other person authorized to	file this claim and state address and teleph	one number if different from the	OCT 97 2000
23_,2009	notice address above. Attach	copy of power of attorney, if any.		OCT 27 2009
	Name: Maurizio Zancanaro			
]				EPIQ BANKRUPTCY SOLUTIONS, LLC
				EPIN DAIRKOPTOT SULUTIONS, LLC
<u> </u>		udulent claim: Fine of up to \$500,000 or is	morisonment for un to 5 years or 1	ooth 18 U.S.C. 88 152 and 3571
l	renaity for presenting frai	aument craim, rine of up to \$500,000 of i	imprisonancia for up to 5 years, or t	

SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF BANCA ALETTI & C. S.P.A.

- 1. <u>Claimant</u>. Banca Aletti & C. S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. and Lehman Brothers UK Capital Funding II LP (the "Obligors") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. The Program Securities issued by

Lehman Brothers Treasury Co. B.V. have also the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of Lehman Brothers Treasury Co. B.V. pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 68,411,014.91 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

iSiN Code	Clearstream blocking reference #	Clearstream account #	Issuer	Nominal Amount
VC0463550941	CA18387	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 797,000.00
XS0163559841 XS0176153350	CA18388	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 7,939,000.00
XS0181945972	CA18389	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 9,241,000.00
XS0185655445	CA18385	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 934,000.00
XS0302315386	CA18386	83603	TREASURY CO. BV	€ 625,000.00
XS0307348234	CA18384	83603	TREASURY CO. BV	€ 8,000.00
XS0162289663	CA18379	83611	TREASURY CO. BV	€ 542,000.00
XS0178969209	CA18375	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 7,029,000.00
XS0189294225	CA18370	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 336,000.00
XS0195431613	CA18377	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 488,000.00
XS0200284247	CA18373	83611	LEHMAN BROTHERS	€ 10,499,000.00 € 170,000.00
XS0202417050 XS0197173643	CA18372 CA18368	83611 83611	LEHMAN BROTHERS TREASURY CO. BV	€ 20,000.00
XS0208459023	CA18371	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 1,845,000.00
XS0210782552	CA18363	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 1,289,000.00
XS0211814123	CA18364	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 1,319,000.00
XS0211093041	CA18367	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 2,027,000.00
XS0213971210	CA18365	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 733,000.00

	0.440050	92611	LEHMAN BROTHERS TREASURY CO. BV	€ 5,000.00
XS0213416141	CA18358	83611	LEHMAN BROTHERS	0.000.00
			UK CAPITAL FUNDING	
XS0229269856	CA18359	83611	II LP	€ 15,000.00
7.0022020000	<u> </u>		LEHMAN BROTHERS	
XS0220704109	CA18357	83611	TREASURY CO. BV	€ 65,000.00
AGOZZOTO TTOO			LEHMAN BROTHERS	
IT0006578600	CA18361	83611	TREASURY CO. BV	€ 140,000.00
11000001000			LEHMAN BROTHERS	
XS0276162327	CA18349	83611	TREASURY CO. BV	€ 102,000.00
7,002,0,0202.			LEHMAN BROTHERS	
XS0229584296	CA18348	83611	TREASURY CO. BV	€ 25,000.00
ACOLLOGO ILOG			LEHMAN BROTHERS	
XS0210433206	CA18351	83611	TREASURY CO. BV	€ 8,000.00
7.002.07.00.00			LEHMAN BROTHERS	
XS0232364868	CA18347	83611	TREASURY CO. BV	€ 2,000.00
			LEHMAN BROTHERS	
XS0301086475	CA18343	83611	TREASURY CO. BV	€ 651.00

EXHIBIT 2

[ISIN Code]	Nominal Amount	Interest **	Total	Nominal Amount	Interest	Total
listiv Codel	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(USD) *	(USD) *	(USD) *
XS0163559841	EUR 797,000.00	15,379.09	812,379.09	1,127,834.70	21,762.95	1,149,597.65
XS0176153350	EUR 7,939,000.00	225,680.26	8,164,680.26	11,234,478.90	319,360.14	11,553,839.04
XS0181945972	EUR 9,241,000.00	221,061.35	9,462,061.35	13,076,939.10	312,823.92	13,389,763.02
XS0185655445	EUR 934,000.00	23,283.24	957,283.24	1,321,703.40	32,948.12	1,354,651.52
XS0302315386	EUR 625,000.00	-	625,000.00	884,437.50		884,437.50
XS0307348234	EUR 8,000.00		8,000.00	11,320.80	-	11,320.80
XS0162289663	EUR 542,000.00	5,871.67	547,871.67	766,984.20	8,309.00	775,293.20
XS0178969209	EUR 7,029,000.00	193,483.55	7,222,483.55	9,946,737.90	273,798.58	10,220,536.48
XS0189294225	EUR 336,000.00	5,709.77	341,709.77	475,473.60	8,079.90	483,553.50
XS0195431613	EUR 488,000.00	4,111.04	492,111.04	690,568.80	5,817.53	696,386.33
XS0200284247	EUR 10,499,000.00	313,224.27	10,812,224.27	14,857,134.90	443,243.67	15,300,378.57
XS0202417050	EUR 170,000.00	4,506.04	174,506.04	240,567.00	6,376.50	246,943.50
XS0197173643	EUR 20,000.00	82.50	20,082.50	28,302.00	116.75	28,418.75
XS0208459023	EUR 1,845,000.00	41,243.59	1,886,243.59	2,610,859.50	58,363.80	2,669,223.30
XS0210782552	GBP 1,289,000.00	28,176.27	1,317,176.27	2,297,454.37	50,220.09	2,347,674.47
XS0211814123	EUR 1,319,000.00	31,702.51	1,350,702.51	1,866,516.90	44,862.23	1,911,379.13
XS0211093041	EUR 2,027,000.00	23,371.42	2,050,371.42	2,868,407.70	33,072.90	2,901,480.60
XS0213971210	EUR 733,000.00	7,209.84	740,209.84	1,037,268.30	10,202.64	1,047,470.94
XS0213416141	EUR 5,000.00	49.73	5,049.73	7,075.50	70.37	7,145.87
XS0229269856	EUR 15,000.00	754.05	15,754.05	21,226.50	1,067.05	22,293.55
XS0220704109	EUR 65,000.00	759.43	65,759.43	91,981.50	1,074.67	93,056.17
IT0006578600	EUR 140,000.00		140,000.00	198,114.00	-	198,114.00
XS0276162327	EUR 102,000.00	-	102,000.00	144,340.20	•	144,340.20
XS0229584296	EUR 25,000.00	1,708.50	26,708.50	35,377.50	2,417.70	37,795.20
XS0210433206	EUR 8,000.00	278.03	8,278.03	11,320.80	393.44	11,714.24
XS0232364868	EUR 2,000.00	103.93	2,103.93	2,830.20	147.08	2,977.28
XS0301086475	UNIT 651.00	_	651,000.00	921,230.10	-	921,230.10

Total Claim Amount USD 68,411,014.91

^{*} Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the European Central Bank for September 15, 2008: 1 EUR = 1.4151 USD

Amounts due in GBP have been converted to US Dollars using the exchange reference rate GBP/EUR and USD/EUR published by the European Central Bank for September 15, 2008: 1 GBP = 1.259525 EUR = 1.782354 USD

Each 1 UNIT of ISIN Code XS0301086475 represents EUR 1.000 of Nominal Amount

** Interest accrued until September 14, 2008 (included)

EXHIBIT 3

Evidence of Ownership

16.40 CET **BSMauro** Clearstream Banking - CreationOnline **Security Post Release** Trade Details Trade Details
Type of Settlement Trasaction
Rool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code
Financial Instrument Descriptio Securities Blocking New Message Receive Free Settlement-Settled XS0163559841 016355984 EUR 6 LEHMAN BROS TREAS CO.BV (1-2)03-2011 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Sender's Reference Single NONREE Last Update Previous Reference 19/10/09 16 06 Deal Reference Deal Reference
Common Reference.
Safekeeping Account
Quantity of Enancial Instrument
Quantity Type.
Processing Indicator
Requested Settlement Date.
Trade Date/Dime
Closing Date.
Priority
Settlement Amount
Currency'
Guaranteed Delivery 83611 - BANCA ALETTI + C SPA-CLIENT A/C Face Amount 19/10/09 19/10/09 0:00 Normal Priority Currency Guaranteed Delivery No Deal Price Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
Taxable Income Per Share Format Delivery Without Matching Yes Delivery, Without Matching
Deal
Trans
Common
Transaction Indicator
Actual Settled Amount
Exp./Eff. Settlement Date
Exp./Eff. Value Date
Dest Matching Instruction CA18387 Settlement Activity 19/10/09 tanggangganggang palaman ang Propinsi Palaman Palaman ang Palaman Palaman Palaman Palaman Palaman Palaman Palaman Settlement Parties Clearstream, Depository BIC Address Place of Settlement 16B) BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream Physical Transaction. in mediate Release No No Delivering Agent Rarty Description Settlement Processing I Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0163559841 OCE CONSENT YOUR INX **Settlement Parties** Type Party Account CLEARSTREAM 83611 Receiving Agent Additional Information

Page 55/80

Input Media Internal

19/10/09

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 08-13555-mg Attachment A Pg 95 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference 02MB9A0005BU Clearstream 0000000065486204 CA Notification History ČÉT-Tim estam p Settlement 19/10/09 16:06 19/10/09 16.06 Processing Accepted Receipt 19/10/09 16 06 Processing **Current Activity-Status** CET-Timestamp User Activity Status Reason Narrative

Settled 19/10/09 16:06 Settlement

Page 56/80 19/10/09

Page 71/80

BSMauro	Clearstream Banking - CreationOnline	6.40 CET
Security Post Release		
Trade Details	一一一个文化的。由于这种中国一种联合的数据,这些对对自己和通过数据的对象。 化异氯	974 PH\$5
Type of Settlement Trasaction 🕫 👙 🤄	Securities Blocking	
Pool Reference		
Function of Message	New Message	
Instruction Type	Receive Free	
Activity Status	Settlement-Settled	
ISIN Code	XS0176153350	
Common Code	017615335	
Financial Instrument Description.	EUR 7,00 LEHMAN BROS.HOLD.INC 03-2013	
Place of Safekeeping	RVTGB2XGPT	
Common Code Type	Single	
Reason Narrative		
Sondaris Potaransa	NONREF	
Sender's Roference Last Update Previous Reference	19/10/09 16 06	
cast opuate (cast option)	19/10/05 10 00	
Previous Reference		
Deal Reference		
Cominion Référence	CONTRACTOR ALETTE LO CON CLIDATIANO	
Safekeeping Account	83611 - BANCA ALETTI + C. SPA-CLIENT A/C	
Quantity of Financial Instrument	7 939.000	
Quantity Type Processing Indicator	Face Arrount	
Processing Indicator		
Requested Settlement Date Trade Date(Time	19/10/09	
	19/10/09 0.00	
Closing Date		
Priority	Normal Priority	
Settlement Amount:		
Currency		
Guaranteed Delivery	No No	
Deal Price		
Deal Price Currency.		
Deal Rrice Format		
Taxable Income Rer Share		
Taxable Income Per Share Currency		
Taxable income Per Share Format		
FX Currency		
Delivery Without Matching	Yes	
Deal		
Trano	CA18388	
Common		
Transaction Indicator	Settlement Activity	
Actual Settled Amount		
	19/10/09	
Exp./Eff. Settlement Date. Exp./Eff. Value Date		
Bost Matching instruction		
Settlement Parties		
Clearstream Depository	[6B] BKNY MELLON, LDN	, ,
	RVTGB2XGPT	
BIC Address	CEDEL ULLXXX - Clearstream	
Place of Settlement		
Physical Transaction	Mo Bulletin	
Immediate Release	No .	
Delivering Agent	Clearstream	
Party-	83611	
Description	BANCA ALETTI + C. SPA-CLIENT A/C	
Settlement Processing Narrative	CONSBLOK XS0176153350 OCE CONSENT YOUR INX	
Settlement Parties		
Týpě.	Format Party Account	
Receiving Agent	CLEARSTREAM 83611	

Input Media Internal

19/10/09

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 97 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference Type 02M89A0005BW Clearstream CA Notification 0000000065486207 History Reason Narrative CEI/Timestemp 19/10/09 16:06 19/10/09 16 06 **Processing** Accepted Receipt 19/10/09 16:06 Processing **Current Activity-Status** User Activity Status Reason Narrative CET Timestamp

Settlement

19/10/09 16 06

Settled

19/10/09 Page 72/80

Clearstream Banking - CreationOnline

BSMauro

16.40 CET

Security Post Release A. 1874 的复数经验证据设置多类的特别的 网络西班牙斯 电影響音的 IIA 网络拉普斯德特 **Trade Details** Trade Dotalls
Type of Settlement Trasaction:
Pool/Reference
Function of Message
Instruction Type
Activity, Status
ISIN Code.
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0181945972 018194597 Financial instrument Description FUR 7 LEHMAN BROS TREAS, 04-2014 Place of Safekeeping Common Code Type Roas on Narrative Sender 5. Reference Last Update Previous Reforence IRVTGB2XGPT Single NONREF 19/10/09 16:06 Doal Reference Comin on Reference Safekeeping Account Quantity of Financial Instrument 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 9 241 000 Quantity of Financial list on Quantity Type; Processing Indicator Requested Settlement Date Trade Date/Time Closing Date Priority Face Amount 19/10/09 19/10/09 0 00 Closing Priority Settlement Amount Normal Priority Currency
Guaranteed Delivery
Deal Price
Deal Price Currency
Deal Price (Format
Jaxable Income Per Share Currency;
Jaxable Income Per Share Format
FX Currency
Delivery Without Matching:
Deal
Jrano
Common
Transaction Indicator
Actual Settlement Date
Exp JEff Nature Date
Best Matching instruction
Settlement Parties No Yes CA 18389 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository BIC Address [68] BKNY MELLON, LDN IRVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction No jmmedlate Rejease Delivering Agent No Clearstream 83611 Party Description BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing:Narrative CONSBLOK XS0181945972 OCE CONSENT YOUR INX Settlement Parties Party Account

		83611
Receiving Agent	CLEARSTREAM	
Additional Information		in the proof with a supported proposed at 10 kg in the facilities of the public are properties at 10 ft.
input Media	internal	
and the second second second second second second second		

19/10/09 Page 57/80

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 99 of 198

BSMauro Clearstream Banking - CreationOnline 16.40 CET References Type: Reference : Clearstream 02M89A0005BY 0000000065486205 CA Notification History CET Timestam; 19/10/09 16:06 Processing 19/10/09 16.06 Accepted 19/10/09 16:06 Processing Receipt **Current Activity-Status** CET Timestamp-Activity Status Reason Narrative 19/10/09 16.06 Settled

19/10/09

Clearstream Banking - CreationOnline

BSMauro

19/10/09

16.40 CET

Page 61/80

Security Post Release **Trade Details** Type of Settlement Tras Securities Blocking Pool Raference Function of Message New Message Function of Injest Instruction Type 'Activity:Status ISIN Code Common Code Receive Free Settlement-Settled XS0185655445 018565544 Financial Instrument Description EUR 7 LEHMAN BROS.TREAS. 04-2014 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Single Réason Narfative
Sender's ReferenceLast Update
Previous Reference
Deal Reference
Common Reference
Safékeeping Account.
Quantity Of Financial Instrument NONREF 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 934 000 Quantity Type
Processing Indicator
Requested Settlement Date. Face Amount 19/10/09 Trade Daté/Time 19/10/09 0.00 Trade pare Closing Date Normal Priority Settlement Amount Currency Guaranteed Delivery No Deal Price
Deal Price
Deal Price
Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format Taxable Income Per Share F EX Currency

Delivery Without Matching

Deal

If ano

Common

Transaction Indicator

Actual Settled Amount

Exp./Eff. Settlement Date

Exp./Eff. Value Date CA18385 Settlement Activity 19/10/09 xp./⊞f.-Value Date . Best Matching Instruction 官员,并是是自己的公司的国际的主题员。但自己是是特殊的一种,并不是是多数。这 Settlement Parties Clearstream Depository BIC Address [6B] BKNY MELLON, LDN RVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction Immediate Release Delivering Agent No No Clearstream Party Description 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0185655445 OCE CONSENT YOUR INX Settlement Processing Na **Settlement Parties**

Type	Format	Party Account
Paccining Agent	CI FARSTREAM	83611
Additional information		医神经病 电工程 医克克氏试验检尿管检验检尿性皮肤 化二氯化甲基苯甲基甲基苯基
Input Media	Internal	

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 08-13555-mg Attachment A Pg 101 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro References Reference Type 02M89A0005BQ Clearstream CA Notification 0000000065486202 History Activity Status Reason Narrative CETTIMES LATED IN 19/10/09 16:06 Settlement 19/10/09 16:06 Processing Accepted Receipt 19/10/09 16 06 Processing **Current Activity-Status** CET Timestamp Üğei Açılvityi Status Reason/Narretive

Settled 19/10/09.16.06 Settlement

19/10/09 Page 62/80

Clearstream Banking - CreationOnline 16.36 CET **BSMauro Security Post Release** Trade Details Jype of Settlement Trasaction Pool Reference Securities Blocking Roolikeference
Eunction of Message:
Instruction Type
Activity: Status
ISIN Code
Common. Code
Financial Instrument Description
Place of Safeke eping
Common Code: Type
Reason Narrative
Sender's Reference
Last Update
Previous Reference
Common Reference
Common Reference New Message Receive Free Settlement-Settled XS0302315386 030231538 EUR 0,00 LEHMAN BROS TREAS 07-2013 IRVTGB2XGPT Single NONREF 19/10/09 16:06 Com mon Reference SafeKeeping Account Quantity Of Financial Instrument 83603 - BANCA ALETTI + C SPA Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Settlement Date.
Trade DateFilme
Closing Date
Priority
Settlement Amount
Currency
Guaranteed Delivery
Deal Frice 625 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority Guaranteed Delivery.
Deal Price:
Deal Price Currency
Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
FX Currency
Delivery Without Matching
Teal
Tranc
Common: Yes CA 18386 Common; Transaction indicator Actual Settled Amount Settlement Activity Exp./Eff. Settlement Date Exp./Eff.Value: Date Best Matching Instruction 19/10/09 Settlement Parties ClearStream Depository BJC Address Place of Settlement Physical Transaction (6B) BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream linimediate Release : No Delivering Agent Clearstream 83603 BANÇA ALETTI+C SPA CONSBLOK XS0302315386 OCE CONSENT YOUR INX **Settlement Parties**

Receiving Agent	CLEARSTREAM	83603	
Additional information	医抗性医疗不足 化氯化物医氯化物医氯化	等。這個學問題的自然,對於這種的問題的意思的實施。 第一個學問題的	是在各种
Input Media	Internal		
19/10/09			Page 5/8

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 103 of 198

BSMauro Clearstream Banking - CreationOnline 16.36 CET

References

 Type:
 Reference

 Clearstream
 02M89A0005BS

 CA Notification
 000000065489174

History

 CET Timestamp
 User
 Activity
 Status
 Reason Narrative

 19/10/09 16:08
 Settlement
 Settled

 19/10/09 16:06
 Processing
 Accepted

19/10/09 16:06 Processing Accepted Processing Receipt

Current Activity-Status

19/10/09

BSMauro 16.36 CET Clearstream Banking - CreationOnline **Security Post Release** Trade Details
Type of Settlement Trasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code
Financial Instrument Description
Place of Safekeeping
Common Code Type Trade Details Securities Blocking New Message Receive Free Settlement-Settled XS0307348234 030734823 EUR 0,00 LEHMAN BROS TREAS 07-2013 IRVTGB2XGPT Common Code Type Reason Narrative Single Sendor's Reference Last Update NONREF 19/10/09 16 06 Brevious Reference Deal Reference Ceal Reference Common Reference Safekeeping Account Quantity of Financial Instrument Quantity Type⁶ 83603 - BANCA ALETTI + C SPA 8 000 Face Amount Quantity, ype
Processing indicator
Requested Settlement Date
Trade Date/Time
Closing Date
Priority 19/10/09 19/10/09 0 00 Priority Settlement-Amount Currency Guaranteed Delivery Normal Priority Deal Price Deal Price Currency Deal Price Currency
Deal Price Format
Taxable income Per Share
Taxable income Per Share
Taxable income Per Share Currency
Taxable income Per Share Format
FX Currency elivery Without Matching Yes Deal Trano Common Transaction Indicator CA 18384 Settlement Activity Actual Settled Amount Exp./Eff. Settlement Date Exp./Eff. Value Date Best Marching Instruction 19/10/09 Settlement Parties Settlement Parties
Clears fream Depository
BIC Address
Place of Settlement
Physical Transaction
Immodiate Release
Delivering Agent
Party [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream Party 5 Description Settlement.Processing Narrat 83603 BANCA ALETTI + C. SPA CONSBLOK XS0307348234 OCE CONSENT YOUR INX **Settlement Parties** Type Format Party Account

Receiving Agent	CLEARSTREAM	83603	
Additional Information		te dane, mo emblishe day mit jen avy sera	ा गरिकारिक हो द्वारा प्रविद्या स्थापन होता.
Input Media	internal		
the first the second section of the section of the second section of the section of the second section of the section of t	and the second of the second o		
19/10/09			Page 7/8

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 08-13555-mg Attachment A Pg 105 of 198

16.36 CET Clearstream Banking - CreationOnline **BSMauro**

References

Type Reference 02M89A0005BO Clearstream CA Notification 0000000065489253

History

User: Activity: Status Status Reason Narrative * CET, Tim estam p 19/10/09 16 06

19/10/09 16.06 Processing Accepted Receipt 19/10/09 16.06 Processing

Current Activity-Status

CET Timestamp User Activity Status Reason/Narrative Settled

19/10/09 16 06 Settlement

19/10/09

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** Trade Details Trade Details

Type of Settlement Trasaction

Pool Reference

Function of Message
Instruction Type

Activity Status

ISIN Code

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0162289663 016228966 Financial Instrument Description EUR 5 LEHMAN BROS TREAS CO.BV 03-2010 Financial/Justrument/Descripti Place of Safekeeping Common Code Type Reason Narrative Sender's Reference Last Update Previous Reference Deal Reference Common Reference Safekeeping Account IRVTGB2XGPT Single NONREF 19/10/09 16:06 Safekeeping Account,
Quantity of Financial Instrument,
Quantity Type,
Processing Indicator
Requested Settlement Date
Trade Date/Time
Closing Date
Priority,
Settlement Amount
Currency
Guaranteed Delivery
Deal Price 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 542 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority No Charantego Denvery
Deat Price
Deat Brice Currency
Deat Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format Delivery Without Matching

Deal

Trano

Common

Transaction Indicator

Actual Settled Amount

Exp. IET. Settlement Date

Exp. IET. Value Date

Best Matching Instructor Yes CA 18379 Settlement Activity 19/10/09 Best Matching Instruction. on the large to the grant of the entire terms of the contract Settlement Parties
Clearstream Depository
BIC Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent
Party
Settlement Process
Settlement Party
Settlement Party
Settlement Parties Settlement Parties [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing Narrativ CONSBLOK XS0162289663 OCE CONSENT YOUR INX **Settlement Parties** Type Party Account

Receiving Agent	CLEARSTREAM		83611	
Receiving Agent Additional information	李维,一点"大人","林春节"。	The state of the s	Control of the Contro	
Input Media				
10/10/00				Page 63/80

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment 08-13555-mg A Pg 107 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References 02MB9A0005BZ Clearstream CA Notification 0000000065486201 History Status Reason Narrative CET Timestamp Settlement 19/10/09 16.06 19/10/09 16.06 Processing Accepted Receipt 19/10/09 16.06 Processing **Current Activity-Status**

CET Timestamp User Activity Status Reason Narrative 19/10/09 16 06 Settled

Page 64/80 19/10/09

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Security Post Release		
Trade Details Type of Settlement Trasaction	Securities Blocking	e in Marie Milenie Marie III de Gelege de la company
Pool Reference	occurries blooming	
Pool Reference Function of Message	New Message	
Instruction Type	Receive Free	
Activity-Status	Settlement-Settled	
DIN Code	XS0178969209	
Common Code Financial Instrument Descriptions	017896920 EUR 7 LEHMAN BROS TREAS.CO.BV (1-2) 03-2013	
Place of Safekeeping	RVTGB2XGPT	
Common Code Type	Single	
Reason Narrative		
Reason Narrative Sender's Raference	NONREF	
Last Update Previous Réference Deal Reference	19/10/09 16.06	
Previous Reference		
Deal Reference		
Common Reference	83611 · BANCA ALETTI + C SPA-CLIENT A/C	
Safekeeping Account Quantity of Financial Instrument	7.029.000	
Quantity Type	Face Arrount	
Processing Indicator		
Requested Settlement Date	19/10/09	
Trade Date/Time	19/10/09 0.00	
Çlosing Date Priority		
Priority	Normal Priority	
Settlement Amount		
Currency Guaranteed Delivery	No	
Deal Price		
Deal Price Deal Price Currency		
Deal Price Format		
Taxable Income Per Share Taxable Income Per Share Currelicy		
Taxable Income Per Share Format		
FX Currency Delivery Without Matching	Yes	
Deal		
医克尔特氏试验检尿 医二甲酚二甲基甲酚二甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲	CA 18375	
Trano Common		
Transaction Indicator Actual Settled/Amount	Settlement Activity	
Exp!/Eff. Settlement Date	19/10/09	
Exp./Eff: Value Dato		
Best Matching Instruction Settlement Parties		and the state of the Application
	[6B] BKNY MELLON, LDN	
Clearstream Depository BIC Address	IRVTGB2XGPT	
Place of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction.	No No	
limin ediate Release	No O	
Delivering Agent	Clearstream 83611	
Party Description	BANCA ALETTI + C. SPA-CLIENT A/C	
Settlement Processing Narrative	CONSBLOK XS0178969209 OCE CONSENT YOUR INX	
	- 	
Settlement Parties		
Type	The state of the s	int
Receiving Agent	CLEARSTREAM 83611	POST AND PARTER AND A
Additional Information	Internal	The state of the s
	Halles area leg	

19/10/09

Page 79/80

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 109 of 198

BSMauro Clearstream Banking - CreationOnline 16,40 CET

References

 Type
 Reference

 Clearstream
 02M89A0005BR

 CA Notification
 000000065486203

History

CEPTIME stamp Status Reason Narrative Settlement Settled

 19/10/09 16 06
 Processing
 Accepted

 19/10/09 16.06
 Processing
 Receipt

Current Activity-Status

CET/Timestam/p: Use / Activity Status / Reason(Narrative / 19/10/09 16.06 Settlement Settled

19/10/09

Page 80/80

BSMauro	Clearstream Banking - CreationOnline	16.40 CE
Security Post Release		n to a series of the season of
Trade Details	nteriorista (n. 1865). Esta de la companya de la c Companya de la companya de la compa	
Type of Settlement Trasaction	Securities Blocking	
Pool Reference		
unction of Message	New Message	
nstruction Type	Receive Free	
kctivity-Status	Settlement-Settled XS0189294225	
SIN Code	35 ASU 16929422 35 018929422	
Common Code Inancial instrument Description	EUR 7,00 LEHMAN BROS.04-2014	
Place of Safekeeping	RVTGB2XGPT	
common Code Type	Single	
eason(Narrative)		
Sender's Reference	NONREF	
ast Update	19/10/09 16 06	
revions Reference + • •		
DealiReference 77		
ommoniReference		
afeKeepingiAccount	83611 - BANCA ALETTI + C. SPA-CLIENT A/C	
Quantify of Financial Instrument	336 000	
	Face Amount	
luantily Type Processing Indicator		
rocessing indicator equested/Settlement:Date	2 19/10/09	
rade Date/Time	19/10/09 0 00	
losing Date		
riority	Normal Priority	
ettlement Amount		
urréncy.		
suaranteed Delivery/	No No	
oealiPrice)		
eal Price Currency		
eal Price Format axable income Per Share /		
axable/income Per Share Currency axable income Per Share Format		
XCurrency envery/Without:Matching	Yes	
eal rano	CA 18370	
ommon		
ransaction Indicator	Settlement Activity	
ctual/Settled/Amount xp://Eff. Settlement/Date.	19/10/09	
xp/Eff:Value Date		
esrMatching instruction		en en la companiera en en el companiera en
Settlement Parties		
learstream Depository	[6B] BKNY MELLON, LDN	
NC Address	IRV TGB2XGPT	
Pace of Settlement	CEDELULLXXX - Clearstream	
hysical Transaction	No I	
n mediate Release	No and the second secon	
elivering Agent	(Clearstream	
arty	83611	
Description	BANCA ALETTI + C SPA-CLIENT A/C	
Settlement Processing Narrative	CONSBLOK XS0189294225 OCE CONSENT YOUR INX	
Settlement Parties		

19/10/09 Page 39/80

医环状结合 医结束 医皮肤性 医氯甲酚

CLEARSTREAM

Receiving Agent

Additional Information

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 08-13555-mg Attachment A Pg 111 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference: 77 02M89A0005B2 Clearstream 0000000065486206 CA Notification History Status Reason Narrative CET Timestam Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16:06 Receipt Processing 19/10/09 16 06

Current Activity-Status

Status Reason Narrative Research CET Timestemp User AACHVIIV A TOTAL Settlement Settled 19/10/09 16:06

Page 40/80 19/10/09

16.40 CET **BSMauro** Clearstream Banking - CreationOnline **Security Post Release Trade Details** Type or Settle ment Tresection i Poblikaterence Function of Message Instruction Type Activity Status Securities Blocking New Message struction.Type
ctivity:Status
iN code
ommon Code
mancial instrument: Description
face or Safekeeping;
ommon Code Type
has off Natrative
ender Scherence
as t'Update
freylous: Reference
eal Raterence
common Reference
atekeeping/Account
upantity of Financial line trument
abrativ-Type
Processing | Indicator Receive Free Settlement-Settled XS0195431613 019543161 EUR 7,00 LEHMAN BROS.(W8 S)/TREAS 04-2014 IRVTGB2XGPT NONREE 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 488 000 Face Amount ocessing (ndicator quested Settlement Date ade Date/Time 19/10/09 opingipate fority attement/Amount facility 19/10/09 0 00 Normal Priority No Deal Price Format

availe income Per Share

prable income Per Share

prable income Per Share Currency

grable income Per Share Format

Courrency Yes CA 18377 rano ommon ransaction indicator ctual Settled Amount cp/Eff.Settlement Date cp/Eff.Value Date assumaching Instruction Settlement Activity 19/10/09 全国的企业,在1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1 Settlement Parties Clears tream Depository
BIC:Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream BANCA ALETTI + C SPA-CLIENT A/C CONSBLOK XS0195431613 OCE CONSENT YOUR INX

Settlement Parties

Type	Format	Party	Account	
Receiving Agent	CLEARSTREAM	83611		and the second second second second second
			Newson at the first of the	
Input Media	Internal			

19/10/09 Page 69/80

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 113 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference Typer 02M89A0005BV Clearstream 0000000065486263 **CA Notification** History Reason:Narrative CET Timestan Settled 19/10/09 16:06 Settlement Processing Accepted 19/10/09 16:06 Processing Receipt 19/10/09 16.06 **Current Activity-Status** : Slatus Reason Narrative CET/Timestamp User Activity

Settlement

19/10/09 16.06

Settled

19/10/09 Page 70/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** Trade Details Trade Details

Invite of Settlement Trasaction

Pool Reference

Function of Message

Instruction Type

Activity Status

ISIN Gode

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0200284247 020028424 commonicore Financial/Instrument.Description Placerof/Safekceping Common Code Type ReasonNarrative Sender's Refetence Cast/Update EUR 7,00 LEHMAN BROS.TREAS.04-2014 IRVTGB2XGPT Single NONREF 19/10/09 16.06 evious Reference al Reference immon Reference Safe Keeping Account

Quantity of Financial Instrument

Quantity Type:

Processing Indicator, 83611 - BANCA ALETTI + C SPA-CLIENT A/C 10 499.000 Face Amount aquested Settlement Date ade Date (Timés Osing Date Josins 19/10/09 19/10/09 0 00 closing Data

Thority

Settlament Amount

Currency

Guaranteed Delivery

Deal Price Correney

Deal Price Formal

Taxable Income Persitere Currency

Taxable Income Persitere Currency

Delivery Without Matching

Deal

Treno

Common:

Transaction Indicator

Actual Settled Amount

Exp./Eff Value Date

Bost Matching Instruction

Settlement Parties Normal Priority No CA 18373 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository
BIC Address
Place of Settlemente
Physical Transaction
Immodiate Release [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No elivering Agent Clearstream 83611

Settlement Parties

Type	Format	Party Accounts
****	O. T. COTDEA 4.4	02611
Additional Information	n gasag Risk trisk olin birilling obj	
input/Media	Internal	

BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0200284247 OCE CONSENT YOUR INX

19/10/09 Page 53/80

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment 08-13555-mg A Pg 115 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

References

Type: 02M89A0005BN Clearstream 0000000065486266 CA Notification

History

Status Reason Narrative : CELTImestamp User Activity, Settled 19/10/09 16 06 Accepted 19/10/09 16:06 Processing Receipt Processing 19/10/09 16:06

Current Activity-Status

CEPTIIIn estamp User Activity Status Reason Natretive as a Settled

Settlement 19/10/09 16 06

19/10/09

Page 54/80

16.40 CET Clearstream Banking - CreationOnline BSMauro **Security Post Release**

Trade Details Type of Settlement Trasaction
Pool Reference
Function of Message
Instruction Type
Activity Status Securities Blocking New Message Receive Free ctiviry Status

SIN Code

ommon Gode

innicial Instrument Description

lace of Safekcening

ommon Code Type

asson Narrative

enders Reference

ast Update

rovious Reference

ommon Reference

inderse Reference

inderse Reference

ommon Reference

inderse Reference

indereference

inderse Reference

inderse Reference

inderse Reference Settlement-Settled XS0202417050 020241705 EUR 7,50 LEHMAN BROS HOLD PLC 04-2014 IRVTGB2XGPT Single NONREF 19/10/09 16:06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 170 000 uamily///ypg uamily//ypg roces sing/indicator squested/Sattlement/Date ade/Date/Time osing/Date 19/10/09

Face Amount

19/10/09 0.00

Normal Priority

No

Yes

CA18372

Settlement Activity

19/10/09

earlie Son ealignics format arable) income Rey Share arable) income Rey Share Guirent easable) income Rey Share Format KCurrency elivery Without Matching eali fanc ommon rapeaction Undleator crual Settled Amount pyret/ Settlemont Dates pyret/ Settlemont Dates pyret/ Settlemont Dates estilement Parties Settlement Parties

Settlement Parlies
Clearstream; Depository
BIC: Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent

[6B] BKNY MELLON, LDN IRVTGB2XGPT

CEDELULLXXX - Clearstream

Мо No Clearstream 83611

BANCA ALETTI + C SPA-CLIENT A/C

CONSBLOK XS0202417050 OCE CONSENT YOUR INX

Settlement Parties

Type	Format	Party Co.	Account
Receiving Agent	CLEARSTREAM	83611 Name tylen amerikansk progress (2005) (2004) (2004)	en en la propriation de la companya
Additional Information	·	and the state of the product of the state of	A TOUR STORY OF THE STORY OF TH
lopu wedla	Internal		

Page 49/80 19/10/09

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 117 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References DVPC - 1 Pelevence - Preference 02M89A0005BL Clearstream 0000000065486265 CA Notification History ReasoniNarrative CIPTINE stamp Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16:06 **Current Activity-Status** Activity Status Reason:Narrative since CET Timestamp

Settlement

Settled

19/10/09

19/10/09 16.06

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Security Post Release		. Julius Juda et Julius
Trade Details		
Type of Settlement Trasaction Pool Reference	Securities Blocking	
Function of Message	New Message	
Instruction Type	Receive Free	
Instruction Type Activity Status ISIN Code	Settlement-Settled	
ISINGode	XS0197173643	
Common Code	019717364	
Financial Instrument Description	EUR FL R LEHMAN BROS.TREAS.CO BV(W8 S)04-2011	
Place of Safekeeping	IRVTGB2XGPT	
Common Code Type Reason/Narrative	Single	
Reason Narrative		
Sender/s Reference	NONREF	
Last Updale	19/10/09 16 06	
Previous Reference		
Deal:Reference:		
Common Reference		
Safekeeping Account	83611 - BANCA ALETTI + C SPA-CLIENT A/C	
Quantity of Financial Institution ent	20,000	
Quantity Type	Face Amount	
Processingindicator		
Requested Settlement Date	19/10/09	
Trade Date/Time:	33 19/10/09 0.00	
ClosingiDate		
Priority	Normal Priority	
Sattlement/Amount Currency		
Currency		
Guaranteed Delivery	No	
Deal Price		
Deal Price Currency		
Deal Frice Format Taxable Income Fer Share		
Taxable Income Per Share Currency		
Taxable Incometer Share Format:		
FX Currency		
Delivery. Without Matching	Yes	
Deal		
Trano	CA18368	
Irano: Common		
Transaction Indicator	Settlement Activity	
Actual Settled Amount		
Exp./Ef. Settlement Date	19/10/09	
Exp /Eff: Value Date		
Best:Matching instruction		ing to be the second and the second
Settlement Parties	建筑 。1944年12月1日日,北京中国第四大海岸中海河南部市中国第一日。	
Clearstream Depository	[6B] BKNY MELLON, LDN	
BIC Address	IRVTGB2XGPT	
Place of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction:	No 	
lm mediate Release	No Classification	
Delivering Agent	Clearstream	
Party	83611	
Description	BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0197173643 OCE CONSENT YOUR INX	
Settlement Processing Narrative	CONSDICT ASCIST ITSUAS OCE CONSTALL TOUR HA	

Settlement Parties

Type ()	Formula	Pertype de la Contraction de l
Receiving Agent	CLEARSTREAM	83611 Here was to the transfer extractly they by whose details of examination of the control of the artifactor of the
Additional Information	· · · · · · · · · · · · · · · · · · ·	of the first of the object of the property of the second stable data. The first of
Input Media	Internal	

19/10/09 Page 33/80

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 119 of 198

BSMauro	Clearstream Banking	CreationOnline	16.40 CET
References			
Type	Refe	iense (1995)	
Clearstream	02M8	9A0005AW	
CA Notification	0000	000065486277	
History			
CErzilmestemp Use	PAGITITY	Sarie R	eason Narrative
19/10/09 16.06	Settlement	Settled	
19/10/09 16.06	Processing	Accepted	
19/10/09 16.06	Processing	Receipt	
15/10/05 10:00	_		
Current Activity-Status			
		Spirite Po	ason(Natrative
CEI-Timestamp Uso	Les de la constant de		
19/10/09 16 06	Settlement	Settled	

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details Type of Settlement-Trasaction Securities Blocking unction of Message
istrüction Type
citvity Status
SIN Code
ommon Code
inancial! Instrument Description
lace of Sale keeping
ommon Code-Type
eason Narrative
enders Reference
ast Undate
icvjous Reference
eat Raference
eat Raference
ommon Reference New Message Receive Free Settlement-Settled XS0208459023 020845902 EUR 7,00 LEHMAN BROS.HOLD INC. 04-2016 IRVTGB2XGPT Single NONREF 19/10/09 16:06 nmonikeletence. 83611 - BANCA ALETTI + C SPA-CLIENT A/C uantity of Financial Instrument
uantity Type;
cossing Indicator;
squested Settlement Date
ode Date/Time 1.845 000 Face Amount 19/10/09 19/10/09 0 00 and pale. Normal Priority ettlementship

Tirrency

uniranteedibelivory

esilPrice

brail Price Currency

esilPrice:Format

raxable income Per Share

Taxable income Per Share Format

Faxable income Per Share Format

Excurrency

Delivery Without Matching No Yes CA 18371 ansactionlindicator. tual Settled Amount Settlement Activity sap (E) (Seatlement Date Sp (E) (Value Date Best Matching Instruction (19/10/09 Settlement Parties Clearstream Depository, BC/Address Place of Settlement Physical Transaction Immediate Releases [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0208459023 OCE CONSENT YOUR INX **Settlement Parties** Type/C Party Account

CLEARSTREAM

Internal

19/10/09

Receiving Agent

Additional Information

Input Media

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 08-13555-mg Attachment A Pg 121 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro References Reference Typet 02M89A0005BJ Clearstream 0000000065486264 **CA** Notification History Status V Reason Narrative **CELLIMES**tam Settled 19/10/09 16:06 Settlement Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing **Current Activity-Status** Status Reason/Narrative

Settled

Settlement

CETATIM estamp: User Activity

19/10/09 16.06

Page 78/80 19/10/09

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details Trade Details
Trype of Sattlement Trasaction
Profile farence
Function of Message
Instruction Type
Activity Status
ISINCode
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0210782552 Common Code
Financial Instrument Description
Place of Sale Keeping
Common Code Type
Reason; Narrative
Sendens Reference
Last Update
Prévious Reference
Common Reference
Sale keeping Account
Quantity of Financial Instrument
Quantity Type
Processing Indicator 021078255 EUR FL.R LEHWAN BROS TREAS CO BV 05-2013 IRVTGB2XGPT Single NONREF 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 1 289.000 Face Amount Processing Indicator Roquested Settlement Date Trade Date/Time 19/10/09 19/10/09 0.00 osing Date Normal Priority ettjement Amount I urrency

Inaranteed Delivery

alignica

calignica Currency

salignica Formal

avable income (For Share Currency

avable income (For Share Formal

k Currency

alivery without Melichne No ellyery Without Matching Yes paliya iyayini ee baal frano Gommon CA 18363 ommon ransattion indicator cthal Sattled Amount xp/Hr. Sattlement Date xp/Hr. Value Date est Watching Instruction Settlement Activity 19/10/09 Clearstream Depository BIC Address Place of Settlement Physical Transaction (6B) BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream n mediate Release Clearstream BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0210782552 OCE CONSENT YOUR INX

Settlement Parties

Type	es Format	Party Account
		00644
Additional Information		
oput Media	Internal	

19/10/09 Page 45/80

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 123 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference: Type 02M89A0005B8 Clearstream 0000000065486563 **CA Notification** History CET/Timestam Settlement 19/10/09 16.06 Accepted Processing 19/10/09 16.06 Receipt 19/10/09 16:06 Processing

Current Activity-Status

GEI Flimestamo Settlement Settled Reason Marrative Settled

19/10/09

16.40 CET Clearstream Banking - CreationOnline BSMauro **Security Post Release** Trade Details Type of Settlement Tresection: Pool Reference Function of Message Instruction Type Securities Blocking New Message netruction Type
\(\) \(Receive Free Settlement-Settled XS0211814123 021181412 EUR 7,00 LEHMAN BROS TREAS CO.BV 05-2017 IRVTGB2XGPT Single NONREF 19/10/09 16:06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 1.319.000 Face Amount ocessing Indicator eques (ed Sattlem ent Date rade Date//ime los ing/Date rority 19/10/09 19/10/09 0 00 Normal Priority inforthy
conforment Amounts
currency
sugranteed belivery
callerice
deal Price Gurrency
callerice Format
faxable incomereer Share Currency
taxable incomereer Share Format
Courrency Nο Yes Deal
Trano
Common
Trans action indicator
Actual Settle d'Amount
Exp./Er/Settlement Date
Exp./Er/Settle CA 18364 Settlement Activity 19/10/09 e de Brand (1975), le la primario de la primario d Settlement Parties Clearstream Depository [6B] BKNY MELLON, LDN BC Address
Place of Settlement
PhysicalsTransaction
Immediate Release. IRVTGB2XGPT CEDELULLXXX - Clearstream Clearstream BANCA ALETTI + C SPA-CLIENT A/C CONSBLOK XS0211814123 OCE CONSENT YOUR INX

Settlement Parties

West	Format	Partiv Account
Receiving Agent	CLEARSTREAM	83611 - Despuis Princip des <u>Maries de Carles de La Personal de la Carles de la C</u>
Additional Information		and the second of the control of the
Input Media	Internal	

19/10/09 Page 5/80

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 125 of 198

BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

 IVIDE
 Reference

 Clearstream
 02M89A0005AN

 CA Notification
 000000065486547

History

 GENIMESTAMP
 VISE
 Reason Variative

 19/10/09 16.06
 Settlement
 Settled

 19/10/09 16.06
 Processing
 Accepted

 19/10/09 16.06
 Processing
 Receipt

Current Activity-Status

GENTALITIES CARREST CONTROL CO

19/10/09 Page 6/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

न्तर पुरुष्के हुन्दु हुन् । अब देवन के विकास स्वीति <mark>विकास स्वीति विकास है</mark> है । विकास के साम की से से से से से

Security Post Release

Trade Details

Type of Settlement Trasaction Profitcherence
Function of the stage
Instruction Type
Activity Status
Sinicode
Common code
Instruction Type ommon code:
inancia inatrument de scription
lace of Sate to epino
ommon code Type
eason Narratiyo
ender's Reference
ast Undate
fevious Reference
ad Reference
grimon reference
at the sping Account
bantiyo (Financia) Instrument

naminy of trinancial instrument.

racessing indicator Equested Sottlement Date rade/Date/Time: losing Date

unrantee (i Deliver) politrice politrice Curtency

wal Price Format axable Income Rer Share axable Income Rer Share Currency axable Income Per Share Format X Currency

Securities Blocking

New Message Receive Free Settlement-Settled XS0211093041 021109304

EUR 7,50 LEHMAN BROS TREAS CO.BV. 05-2015

IRVTGB2XGPT Single

NONREF 19/10/09 16 06

83611 - BANCA ALETTI + C SPA-CLIENT A/C

2.027 000 Face Amount

19/10/09 19/10/09 0 00

Normal Priority

No

Yes

CA18367

oxable income:
Currenty
Silvery, Without Matching
Coll
Fano
Common
If an Saction Indicator
Actual Settled Amount:
Express Settlement Data
Express Value Data
Best Matching Instruction
Settlement Parties Settlement Activity

19/10/09

[6B] BKNY MELLON, LDN IRVTGB2XGPT

CEDELULLXXX - Clearstream

Clearstream Depository
EIC Address
Place or Settlement
Physical Transaction
Immediate Release
Delivering Agent No No Clearstream 83611

BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0211093041 OCE CONSENT YOUR INX

Settlement Parties

Typer Party Accounts CLEARSTREAM Receiving Agent 化化物 化化物性 化多克斯特勒斯 医抗性性神经神经神经神经神经 Additional Information

Input Media Internal

Page 13/80 19/10/09

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 08-13555-mg Attachment A Pg 127 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

References

itype Reférence 02M89A0005AT Clearstream 0000000065486556

CA Notification History

ReasoniNarrative O DE TIME SEMP Settlement 19/10/09 16.06

Processing Accepted 19/10/09 16.06 Receipt Processing 19/10/09 16 06

Current Activity-Status

Status Reason Narrative: CEI Timestamp. User Settled

Settlement 19/10/09 16:06

19/10/09

Page 14/80

BSMauro Clearstream Banking - CreationOnline 16.40 CET **Security Post Release** Trade Details

INTRO OF SETTLEMENT AS ABBITON

POOL RETERING.

FUNDATION OF WAS ASSESSED.

ACTIVITY STATUS.

ISIN Code.

Common Code.

FUNDATION OF COMMON ASSESSED.

EMPRES OF SALE RECORDS.

Common Code Type.

Reas on Narrative.

Sender's Reference.

Last Update.

Freylous Reference.

Dealizerance.

Common Reference.

Safe Ree ping Account.

Quantity of Financial Instrument.

Quantity Type.

Process inglindicator. metallige in Algebrane alternation that was paying had been been been confidenced by gra-**Trade Details** Securities Blocking New Message Receive Free Settlement-Settled XS0213971210 021397121 EUR 7,625 LEHMAN BROS.TREAS CO.BV (W8 S)05-15 IRVTGB2XGPT Single NONREF 19/10/09 16.06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 733 000 Face Amount Requested Settlement Dale Trade Date Time Glosing Date 19/10/09 0:00 Normal Priority ttlement Amount uaranteed Delivery eal:Price No aliPrice Currenc aliPrice Format eal Price Format
axable the come Per Share
axable the ome Per Share Currency
axable the ome Per Share Format
X currency
etivery, Without Matching
eal
fanc
commons
ranged to find the commons
commons
commons
commons
ranged to find the commons
comm Yes CA 18365 Settlement Activity Exp/Eff.Settlement/Date Exp/Eff.Value.Date Best Matching instruction 19/10/09 paragraphical and responding the paragraphic and a state of the second Settlement Parties Clearstream Depositor/ BIC/Address: Place of Settlement Physical Transaction Immediate Release [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Delivering Agent Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C ettlement/Processing/N CONSBLOK XS0213971210 OCE CONSENT YOUR INX

Settlement Parties

Describes Appet	CI ENDSTREAM	Pantý Account 83611
Additional Information		
	Internal	

19/10/09 Page 7/80

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 129 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference 02M89A0005AK Clearstream 0000000065486555 CA Notification History Status Reason Narrative ... CET Timestamp User Activity Settled 19/10/09 16:06 19/10/09 16:06 Processing Accepted 19/10/09 16 06 Processing Receipt

Current Activity-Status

CETUTING Stemp V. VSer Activity Stellies Reason Norrelitye.

19/10/09 16.06 Settlement Settled

19/10/09

16.40 CET Clearstream Banking - CreationOnline BSMauro **Security Post Release** Trade Details race Details

Type Of Sottlement are sections

Pool Reference

Emetion of Message

Instructional yies

Activity: Status

ISIN code.

Common Code. Securities Blocking New Message Receive Free Settlement-Settled XS0213416141 ommon Gode inancial Instrument Description Place of Safeke eping common Code Trype Respon Narrative Sande T. SRE (aronce Sartindale) 021341614 EUR 8,25 LEHMAN BROS.TREAS.CO.BV(W8 S)05-2035 IRVTGB2XGPT Single sondor's Reterence
Last Updaiei
previous Reference
Seal Reference
Common Reference
Safe Reeping Account
Quantity of Financiallins trument
Quantity Type
Processing Indicator
Reguested Settlement Date
Trade Date Juine
Closing Date
Priority
Settlement Amount
Currency
Guaranteed Delivery NONREF 19/10/09 16.06 83611 - BANCA ALETTI + C. SPA-CLIENT A/C Face Amount 19/10/09 19/10/09 0 00 Normal Priority Currency.
Guaranteed Delivery
Deal Price
Deal Price Currency
Deal Price Format
Taxable Income Par Share
Taxable Income Par Share Currency
Taxable Income Par Share Format
Taxable Income Par Share Format
TXCurrency
Delivery Without Matching
Deal
Tranc
Common
Transaction lindicator
Action Settlement Date. No Yes CA 18358 Settlement Activity /Eff. Settlement Date 19/10/09 i/Elf: Value Date st Metching instruction Settlement Parties

Clears tream, Depository	[6B] BKNY MELLON, LDN
BIG Address	IRVTGB2XGPT
Place of Settlement .	CEDELULLXXX · Clearstream
Physical Transaction	No
lmmediate/Rejease	No
Delivering Agent	Clearstream
Party	83611
Description	BANCA ALETTI + C. SPA-CLIENT A/C
Settlement Processing Narrative.	CONSBLOK XS0213416141 OCE CONSENT YOUR INX

Settlement Parties

Receiving Agent CLEARSTREAM 83611	
Additional Information	
Additional information Internal	- :

19/10/09 Page 15/80

Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 08-13555-mg Attachment A Pg 131 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro

References

Type 02M89A0005AS

Clearstream CA Notification

0000000065486558

History

Status Reason Narrative CET Timestamp Settled Settlement 19/10/09 16 06 Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing

Current Activity-Status

Activity Status Reason Narratives CET.Timestamp User Settled 19/10/09 16:06 Settlement

19/10/09

Clearstream Banking - CreationOnline 16.40 CET **BSMauro Security Post Release Trade Details** Type of SettlementsTrasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code Securities Blocking New Message Receive Free Settlement-Settled XS0229269856 Common Code 022926985 EUR 5,125 LEHMAN BROS. UK CAP.FUND.II(1)05-XX **IRVTGB2XGPT** Single

Financial Instrument Description Place of Safe keeping Common Code Type Reason Narrative Sender's Reference Last Update NONREF 19/10/09 16:06 Previous Reference Deal Reference Deal, Reference
Common Reference
Safekeeping Account
Quantity of Financial Instrument
Quantity Types
Processing Indicator
Requested Settlement Date
Trade Date Time 83611 - BANCA ALETTI + C SPA-CLIENT A/C 15 000

Face Amount 19/10/09

19/10/09 0.00 Closing Date Normal Priority

Priority
Softlement Amount
Currency
Guaranteed Delivery
Deal Price
Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
FX Currency
Delivery Without Matching
Deal:
Trano
Common

Transaction Indicator. Actual Settled Amount Exp./Eff: Settlement Date

Exp./Eff. Value Date

CA 18359

Settlement Activity

19/10/09

Nο

Yes

Settlement Parties

Clearstream Depository [6B] BKNY MELLON, LDN BIC Address: IRVTGB2XGPT Place of Settlement.... CEDELULLXXX - Clearstream Physical Transaction : No

linmediate Release Delivering Agent No Clearstream Party 83611 BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0229269856 OCE CONSENT YOUR INX

Settlement Parties

Parly Account Туре CLEARSTREAM 83611 Receiving Agent Additional Information Input Media

resign springer and the residence of the contract of the contr

Page 31/80 19/10/09

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 133 of 198

16.40 CET Clearstream Banking - CreationOnline BSMauro References Type : Reference 02M89A0005AV Clearstream 0000000065486638 CA Notification History Status Reason Narrative CET Time stamp Settled 19/10/09 16:06 Settlement Accepted Processing 19/10/09 16:06 Receipt 19/10/09 16:06 Processing

19/10/09

GET Time Stamp User Activity Status Reason Natrative 19/10/09 16 06 Settlement Settled

Page 32/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

Security Post Release

Trade Details Type of Settlement Trasaction Pool Reference Function of Message Instruction Type Securities Blocking New Message Receive Free Instruction (1995) Activity-Status Settlement-Settled ISIN Code XS0220704109 Common Code Financial instrument Description 022070410 EUR 7 LEHMAN BROS.TREAS.CO.BV 05-2017 Place of Safekeeping IRVTGB2XGPT Common Code Type Reason Narrative Single Sender's Reference Last Update NONREF 19/10/09 16:06 Last: Update
Previous Reference
Deal: Reference
Common Reference
Safekeeping: Account:
Quantity of Financial linstrument
Quantity Type
Processing Indicator
Requested Settlement Date
Trade Date/Time 83611 - BANCA ALETTI + C SPA-CLIENT A/C 65.000 Face Amount 19/10/09 19/10/09 0.00 Trade Date/Time
Closing Date
Priority
Settlement Amount
Currency
Guaranteed Delivery
Deal Price Normal Priority No Deal Price
Deal Price Currency
Deal Price Formal
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Formal FX.Currency ... Delivery Without:Matching Yes Deal CA 18357 Trano Common Transaction indicator

Actual Settled Amount Exp./Eff: Settlement Date

Exp:/Eff. Value Date Best Matching Instruct

Settlement Parties

Clearstream Depository BIC Address Place of Settlement

Physical Transaction Immediate Release

Délivering Agent Party

Déscription Settlement Processing Narrative Settlement Activity

19/10/09

[6B] BKNY MELLON, LDN

IRVTGB2XGPT

CEDELULLXXX - Clearstream No

No Clearstream

83611 BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0220704109 OCE CONSENT YOUR INX

Settlement Parties

Settlettellt i di neo				5 3
Type	Format	Party 3	/Account	
Pagaining Agent	CLEARSTREAM	83611		
			国际部分中华的特别的 化共同共享 计图像 计自由 化二甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基甲基	
Input Media	internal internal			

Page 37/80 19/10/09

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 135 of 198

BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

Type Reference:
Clearstream 02MB9A0005B1

CA Notification 0000000065486546

History

 CET Timestamp
 User
 Activity
 Status
 Reason/Narrative

 19/10/09 16.06
 Settlement
 Settled

 19/10/09 16.06
 Processing
 Accepted

 19/10/09 16.06
 Processing
 Receipt

Current Activity-Status

CET TimeStamp User Activity Status Reason Narrative :

19/10/09 16.06 Settlement Settled

19/10/09 Page 38/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** THE CONTRACTOR ASSESSMENT OF THE STREET ASSESSMENT OF THE STREET OF THE **Trade Details** Type of Settlement Trasaction Securities Blocking Pool Reference
Function of Message
Instruction Type
Activity-Status:
ISIN Code New Message Receive Free Settlement-Settled IT0006578600 Common Code Financial Instrument Description 023985071 EUR 7 LEHMAN BROTHERS (FXR-FLR)05-2017 Riace of Safekeeping Common Code Type Reason Narrative Sender is Reference Last Update MOTIFIMMXXX Single NONREF 19/10/09 16 06 Last Update
Previous Reference
Deal Reference
Common Reference
Safekeeping Account
Quantity of Financial instrument
Quantity Type 83611 - BANCA ALETTI + C SPA-CLIENT A/C 140 000 Face Amount Processing indicator
Requested Settlement Date
Trade Date/Time
Closing Date 19/10/09 19/10/09 0.00 Priority
Settlement Amount
Currency Normal Priority Guaranteed Delivery No Guaranteed Delivery.

Deal Price

Deal Price Currency.

Deal Price Format

Taxable Income Per Share

Taxable Income Per Share Currency

Taxable Income Per Share Format Delivery Without Matching: Yes Deal CA 18361 Common Transaction indicator Actual Settled Amount Settlement Activity Exp./Eff. Settlement Date, Exp./Eff. Value Date Best Matching Instruction 19/10/09 en la grandiga terratikan di langga berangga kangga penggan berangga berangga berangga berangga berangga berang Settlement Parties Clearstream Depository BIC Address Place of Settlement [80] INTESABCI BANK SPA BCITITMMXXX CEDELULLXXX - Clearstream Physical Transaction No Immediate Release No Clearstream Delivering Agent Party 83611 BANCA ALETTI + C. SPA-CLIENT A/C Description. Settlement/Processing Narrative CONSBLOK IT0006578600 OAL CONSENT YOUR INX

Settlement Parties

Settlement Parties		
Tunes	Format	Party Accounts
	CLEARSTREAM	83611
Receiving Agent		- The Article Control of the Section (2015年度) Article (
Additional Information		A CONTRACT OF THE CONTRACT OF
Input Media		
III Dur Wedia	SEE 35 30 510	

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 137 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type Reference 02M89A0005B4 Clearstream 0000000065487145 **CA Notification** History Status Reason Narrative Settled 19/10/09 16 06 Settlement Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16.06 Processing

Current Activity-Status

CET Timestam P. User Activity Status Reason:Narrative 19/10/09 16.06 Settlement Settled

19/10/09 Page 44/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

化复数 医皮肤的 医人名西西格特氏氏病毒性 医乳腺 使自然性的 化二氯化甲酸甲基酚 化异氯磺酸

the territories of the first section of the grade to display

Security Post Release

Trade Details Trade Datails
Type of Settlement Trasaction:
Pool Reference:
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code
Financial Instrument Description
Place of Safekeeping

Place of Safe ke eping Common Code Type Reason Narrative

Reason Narrative
Sender's Reference
Last Update
Previous Reference
Common Reference
Safekceping Account
Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Settlemant Date
Trade Date/Time
Closing Date

Closing Date

Settlement Amount Currency

Currency
Guaranteed Delivery
Deal Price
Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format
FX Currency
Delivery Without Matching

Delivery Without Matching

ransaction indicator . Actual Settled Amount

Exp./Eff.Settlement Date Exp./Eff. Válue (Daté sest Matching instruction Securities Blocking

New Message Receive Free Settlement-Settled XS0276162327 027616232

EUR 0,00 LEHMAN BROS TREAS CO.BV 06-2010

IRVTGB2XGPT Single

NONREF 19/10/09 16:06

83611 - BANCA ALETTI + C. SPA-CLIENT A/C

102.000 Face Amount

19/10/09 19/10/09 0:00

Norma) Priority

No

Yes

CA 18349

Settlement Activity

法特别的 医线点性

19/10/09

Settlement Parties

Člearstřeám Depository BIČ Address [6B] BKNY MELLON, LDN IRVTGB2XGPT

Place of Settlement. Physical Transaction Immediate Release Delivering Agent? CEDBLULLXXX - Clearstream No Nο

Clearstream Party Description 83611

BANCA ALETTI + C. SPA-CLIENT A/C

Settlement Processing Narrat CONSBLOK XS0276162327 OCE CONSENT YOUR INX

Settlement Parties

Party Account Type CLEARSTREAM 83611 Receiving Agent and the contract contract of the particle contract of the contract of the contract of the state of the contract of the contrac Additional Information Input:Media Internal

Page 27/80 19/10/09

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 139 of 198

BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

 Type
 Reference

 Clearstream
 02M89A0005B3

CA Notification 000000065487595

History

 GET Time Stamp
 User
 Activity
 Status
 Reason/Narrative

 19/10/09 16 06
 Settlement
 Settled

 19/10/09 16,06
 Processing
 Accepted

 19/10/09 16.06
 Processing
 Receipt

Current Activity-Status

CET Timestamp User Activity Status Reason Narrative
19/10/09 16 06 Settlement Settled

19/10/09

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details 4. 4. 6. Type of Settlement Trasaction Pool Reference Securities Blocking Function of Message New Message Instruction Type Activity Status ISIN Code Receive Free Settlement-Settled XS0229584296 Common Code Financia!\instrument\Description 022958429 EUR 7,25 LEHMAN BROS TREAS (3171) 05-2035 Place of Safekeeping IRVTGB2XGPT Common Gode Type Reason Narrallye Sender's Relejence Last Update Single NONREF 19/10/09 16:06 Previous Reference Deal Reference Common Reference CommoniReference
Salekeeping Account
Quantity of Financial Instruments
Quantity Type
Processing Indicator
Requested Settlement Date
Trade Date/Time
Closing/Date
Priority
Settlement Amount 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 25 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority Guarante ed Delivery No Deal Price Deal Price Currency Deal-Price Currency
Deal-Price Format
Taxable Income Per Share
Taxable Income Per Share
Taxable Income Per Share Format
FX:Currency
Delivery Without Matching CA 18348 Transaction Indicator Settlement Activity Actual Settled Am ount Exp. Eff. Settlement Date Exp. Eff. Value Date Best Matching Instruction 19/10/09 Settlement Parties Clearstream Depository BIC Address [6B] BKNY MELLON, LDN RVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction Immediate Release No No Delivering Agent Party Description Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0229584296 OCE CONSENT YOUR INX Settlement Processing Narrativ

Sottlement Parties

Settlement raines			
Type	Format	Party Account	
Receiving Agent	CLEARSTREAM	83611	
		。1914年,大学的大学中国的大学的大学的大学的大学的大学中国的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的大学的	(5, 8
Additional information			•••
Input Media	internal		

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 141 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type 02M89A0005B0 Clearstream CA Notification 0000000065486643 History CET Timestamp Settled Settlement 19/10/09 16:06 Accepted Processing 19/10/09 16.06 Receipt 19/10/09 16.06 Processing **Current Activity-Status** Status Reason Narrative CET Timestamp

Settlement

Settled

19/10/09 16.06

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** 2000年代的1980年代的1980年代,1980年代的19 **Trade Details** Type of Settlement Trasaction, Pool Reference Securities Blocking Function of Message Instruction Type Activity-Status New Message Receive Free Settlement-Settled Activity-Status
ISIN Code
Common Code
Financial instrument Description
Place of Safekeeping
Common Code Type
Reason Narrative
Sender's Reference
Last Update
Descripts Reference XS0210433206 021043320 EUR 6,00 LEHMAN BROS.TREAS.CO.BV05-2035 **IRVTGB2XGPT** Single NONREF 19/10/09 16 06 Last Update Previous Reference Deal:Reference Deal Reference

Common Reference

Safekeeping Account

Quantity of Financial Instrument

Quantity Type

Processing Indicator

Requested Settlement Date

Trade Date/Time

Closing Date

Priority.

Settlement Amount

Currency 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 8 000 Face Amount 19/10/09 19/10/09 0:00 Normal Priority Currency Guarante ed Delivery No Deal Price Deal Price Currency
Deal Price Format
Taxable Income Per Share
Taxable Income Per Share
Taxable Income Per Share Currency
Taxable Income Per Share Format FX Currency Delivery Without Matching Deal Yes CA18351 Trano. Common Transaction Indicator Settlement Activity Actual Settled Amount
Exp./Eff. Settlement Date
Exp./Eff. Value Date
Best Matching Instruction 19/10/09 Settlement Parties Clearstream Depository BIC Address [6B] BKNY MELLON, LDN IRVTGB2XGPT BIC Address
Place of Settlement
Physical Transaction
Immodiate Release
Delivering Agent
Party
Description CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0210433206 OCE CONSENT YOUR INX Settlement Processing Narrative

Settlement Parties

Settlement i di nes				WINCE SHOW
Type	Format	Party	Account	
Bearing Agent	CI FARSTREAM	83611		
Additional Information	· 10. [1] 医中国性神经神经神经神经神经神经神经神经神经神经神经神经神经神经神经神经神经神经神经	之[[] 25 [2] [[] 27 []] 27 [[] 27 [[] 27 [[] 27 [[] 27 [[] 27 [[] 27 [[] 27 [[] 27 []] 27 [[] 27 []] 27 [[] 27 [[] 27 [[] 27 [[] 27 [[] 27 []] 27 [[] 27 [[] 27 []] 27 [[] 27 [[] 27 [[] 27 []] 27 [[] 27 [[] 27 []] 27 [[] 27 [[] 27 [[] 27 []] 27 [[] 27 []] 27 [[] 27 []] 27 [[] 27 [[] 27 []] 27 [[] 27 []] 27 [[] 27 []] 27 [[] 27 []] 27 [[] 27 []] 27 []] 27 [[] 27 []] 27 [] 27 []] 27 [[] 27 []] 27 [] 27 [] 27 []] 27 [] 27 []] 27 [] 27 [] 27 []] 27 [] 27 [] 27 [] 27 []] 27 [] 27 [] 27 []] 27 [] 27 [] 27 [] 27 [] 27 []] 27 [] 27 [] 27 [] 27 [] 27 []] 27 [] 27 [] 27 [] 27 [] 27 [] 27 [] 27 [] 27 []] 27 []	的现在分词。如此是自己的人类的是,如此是主体的是10g.	1576
Input Media				
Inbacial old	a normal			

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 143 of 198

16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type 02M89A0005B7 Clearstream **CA Notification** 0000000065486549 History Status Reason: Narrative CET Timestamp; User Settlement Settled 19/10/09 16.06 19/10/09 16:06 Processing Accepted Processing Receipt 19/10/09 16:06

Current Activity-Status

19/10/09 Page 26/80

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Security Post Release		
Trade Details	see eligibility is sell eligibility of partiety by a see a stability	
Type of Settlement Trasaction	Securities Blocking	
Pool Reference Function of Message Instruction Type	New Message	
Instruction Type	Receive Free	
Activity-Status	Settlement-Settled	
ÎSIN Code	XS0232364868	
Common Code	023236486	
Financial Instrument Description	EUR 6,00 LEHMAN BROS.TREAS.CO.BV 05-2035	
Place of Safe keeping	RVTGB2XGPT	
Common Code Type	Single	
Reason Narrative	NONREF	
Sender's Reference	19/10/09 16.06	
Last Update Previous Reference Deal Reference		
Deal Reference		
Common Reference		
Safekeeping Account	83611 - BANCA ALETTI + C. SPA-CLIENT A/C	
Quantity of Einancial Instrument	2 000	
Quantily:Type Processing:Indicator	Face Amount	
Processing Indicator	19/10/09	
Requested Settlement Date Trade Date/Time	19/10/09 0 00	
Closing Date		
Closing Date. Priority	Normal Priority	
Settlement Amount		
Currency:		
Guaranteed Delivery	No No	
Guaranteed Delivery Deal Price Deal Price Currency		
Deal Price Currency Deal Price Format		
Deal Price Format Taxable income Per Share		
Taxable Income Per Share Currency		
Taxable Income Per Share Currency Taxable Income Per Share Format		
FX Currency		
Delivery Without Matching:	Yes	
Deal		
Trano	CA 18347	
Common -	And Could account A physics	
Transaction Indicator Actual Settled Amount	Settlement Activity	
Exp./Elf: Settlement Date	19/10/09	
Exp./Eff./Value Date		
Best Matching Instruction		
Settlement Parties	The state of the s	क्षिण प्रकारण प्रकार के ते हैं है है है है
Clearstream Depository:	[6B] BKNY MELLON, LDN	
BIC Address Place of Settlement	RVTGB2XGPT	
Place of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction Immediate Release	Record No	
	Gearstream	
Delivering Agent	00044	

Settlement Parties

Settlement raines			
Type	Format		Account
The second secon			
Receiving Agent	CLEARSTREAM	83611	
and the second state of the second	the of a state of the base	ा ने सर्व र नेप्रकृत अक्षेत्रक प्रकृतिक विद्यान स्थापन स्थापन स्थापन स्थापन स्थापन स्थापन स्थापन स्थापन स्थापन	
			A STATE OF THE STA
Input Media	hternal		
illutimenta 1100 and	The state of the s		

83611

BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0232364868 OCE CONSENT YOUR INX

19/10/09

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 145 of 198

BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

Type Reference

 Clearstream
 02M89A0005AX

 CA Notification
 000000065486642

History

 CET-Timestamp
 User
 Activity
 Status
 Reason Narrative //

 19/10/09 16.06
 Settlement
 Settled

 19/10/09 16.06
 Processing
 Accepted

 19/10/09 16.06
 Processing
 Receipt

Current Activity-Status

CET Timestamp User, Activity Status Reason Narrative

19/10/09 16 06 Settlement Settled

19/10/09

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release Trade Details**

Type of Sattlement Tresaction Pool Reference Function of Message Instruction Type Activity Status SIN Code Common Code Financial Instrument Description Place of Safekeeping Common Code Type Reason Narrative IRVTGB2XGPT Single Sender's Reference NONREF Last Update 19/10/09 16 06 Previous Reference Deal Reference Common Reference Salekeeping Accounts
Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Settlement Date
Trade-Date(Time)
Closing Date
Priority 83611 - BANCA ALETTI + C SPA-CLIENT A/C 651

Priority Settlement Amount Currency Guaranteed Delivery

Guaranteed Delivery

Deal Price

Deal Price Currency

Deal Price Format

Taxable Income Per Share

Taxable Income Per Share Curren

Taxable Income Per Share Format

EX Currency

Deal Strand Deal Trano Common Transaction Indicator Actual Settled Amount Exp./Eff. Settlement Date: Exp./Ef; Value Date Best Matching Instr

Securities Blocking

New Message Receive Free Settlement-Settled XS0301086475 030108647 UNT LEHMAN BROS.TREAS 040711

19/10/09 19/10/09 0 00

Normal Priority

No

Yes

CA18343

Settlement Activity

19/10/09

Settlement Parties

Clearstream Depos BIC Address eic Aquiess Place of Settlement Physical Transaction Immediate Release Delivering Agent Party :-

[6B] BKNY MELLON, LDN **IRVTGB2XGPT** CEDELULLXXX - Clearstream

No No

Clearstream 83611

BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0301086475 OCE CONSENT YOUR INX

化环环 电气电流 经国际基础 医环腺性性 医甲基酚 医海绵 医耳氏性 电多点逻辑 地名美国克拉斯 经营销售 电电流电流

Settlement Parties

Settlement Processing

Description

Party Account Type Format CLEARSTREAM 83611 Receiving Agent Additional Information

Input Media

Page 3/80 19/10/09

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 147 of 198

BSMauro : Clearstream Banking - CreationOnline 16.40 CET

References

 Dype
 Reference

 Clearstream
 02M89A0005AL

Clearstream

CA Notification

0000000065488554

History

CET Time stamp User Activity Status Reason Narrative 19/10/09 16 06 Settlement Settled

19/10/09 16:06

Processing Accepted

19/10/09 16.06 Processing

Receipt

Current Activity-Status

CET Timestamp. User Activity Status Reason Narrative

19/10/09 16 06

Settlement

Settled

19/10/09

Page 4/80

EXHIBIT 4

Written Consent June 9, 2005

06/0B/2005

16:41

LEHMAN + 916467582653

NO.504 001

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

DE. 25. 2005 3€/3E/3Ø€

16:41

E-MAN + 316467582653

004 มก. 299 pe2 NO. 524

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outmanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and ourstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, ourstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Grazenteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an arrorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive swidence of approval thereof; and to do such other acts and things as may be advisable or nacessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that may and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

In L. Macomber

06/08/2005

16:41

LEHMAN → 916467582653

ND.504

D03

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

ii BANCA ALETTI

United States Bankruptcy Court/Southern District of New York

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017

> Banca Aletti & C. S.p.a. Tel. +390243358107 Fax +390243358364 E-mail: massimo.zerbino@alettibank.it

Ocotber 23, 2009

BANCA ALETTI & C. S.P.A. - PROOF OF CLAIM AGAINST LEHMAN BROTHERS HOLDINGS INC.

To: United States Bankruptcy Court/Southern District of New York

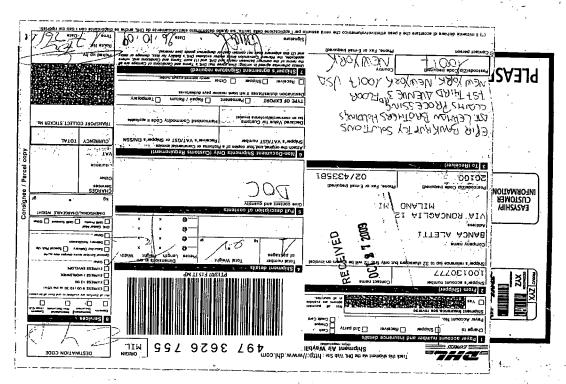
Enclosed please find two original copies of the proofs of claim hereby filed by Banca Aletti & C. S.p.a. against Lehman Brothers Holdings Inc., together with photocopies of the original proofs of claims. Please retain and time-stamp the original proofs of claim and please time-stamp and return the photocopies (time-stamped as "RECEIVED") by using the enclosed prepaid envelop.

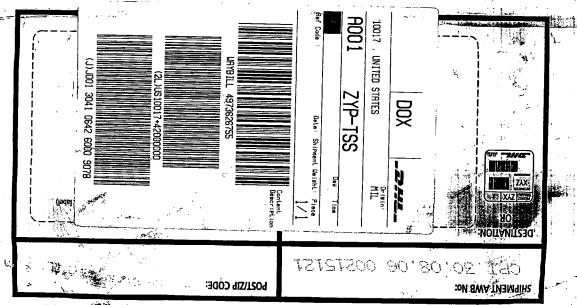
Kind regards,

Banca Aletti & C. S.p.A.

General Manager

Mr. Maurizio Zancanaro





08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 154 of 198

	ankruptcy Court/Southern Dis	-	LEUMAN	SECURITIES PROGRAMS
Lehman Brother	s Holdings Claims Processing of ptcy Solutions, LLC	Center		
FDR Station, P.			1 ehman B	Southern District of New York rothers Holdings Inc., Et Al.
New York, NY	10150-5076	Chanter II	Letimo	0000049792 0000049792
In Re: Lehman Brother	s Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	1(111 1111	. 11. (1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
Debtors.		(Jointly Administered)		
Note: This form Programs Securi	may not be used to file claims ities as listed on http://www.lef	other than those based on Lehman <u>man-docket.com</u> as of July 17, 2009		111111111111111111111111111111111111111
Name and addre	ess of Creditor: (and name and a	address where notices should be sent if diff	ferent from Creditor)	☐ Check this box to indicate that this claim amends a previously filed claim.
•	Commerciale della Repubblica	di San Marino S.p.A.		Court Claim Number:
Via Oddone Sca 47893 Borgo M				(If known)
Repubblica di S Attention: Mr. S				
Attention: Wir. 5	delano Cataldo			Filed on:
Telephone numi	per: +378 871286	Email Address: legale@bac.sm		
	ess where payment should be se			☐ Check this box if you are aware that anyone
		•		else has filed a proof of claim relating to your claim. Attach copy of statement giving
				particulars.
Telephone numl		Email Address:		
September 15, 2	.008, whether you owned the Land before or after Sentember 1.	chman Programs Securities on September 5 2008 The claim amount must be stated	15, 2008 or acquired them thereaf in United States dollars, using the	owed under your Lehman Programs Securities as of ter, and whether such claim matured or became exchange rate as applicable on September 15, a the claim amounts for each Lehman Programs
Security to which	th this claim relates.			
	im: See attached	(Required)		
		des interest or other charges in addition to		
2. Provide the I more than one I	nternational Securities Identific ehman Programs Security, you	cation Number (ISIN) for each Lehman Pr may attach a schedule with the ISINs for	ograms Security to which this clai the Lehman Programs Securities t	m relates. If you are filing this claim with respect to o which this claim relates.
International S	ecurities Identification Numb	per (ISIN): See attached	(Required)	
"Blocking Num broker or other	ber") for each Lehman Progran entity that holds such securities	ns Security for which you are filing a clain	n. You must acquire a Blocking No m with respect to more than one L	ocking reference number, as appropriate (each, a umber from your accountholder (i.e. the bank, chman Programs Security, you may attach a
Clearstream B	ank Blocking Number, Euroc	lear Bank Electronic Instruction Refere	ence Number and or other depos	itory blocking reference number:
See attached	(Requi			
claim. You mus	t acquire the relevant Clearstre	nk or other depository participant account am Bank, Euroclear Bank or other deposit). Beneficial holders should not provide th	ory participant account number fro	rograms Securities for which you are filing this om your accountholder (i.e. the bank, broker or other
Accountholder	s Euroclear Bank, Clearstrea	m Bank or Other Depository Participat	nt Account Number:	
See attached	(Requi	red)		
deemed to have	authorized, Euroclear Bank, C	Bank or Other Depository: By filing the learstream Bank or other depository to dis for the purpose of reconciling claims and	close your identity and holdings	FILED TRECEIVED
Date. October 23, 2009	or other person authorized to	this claim must sign it. Sign and print nam file this claim and state address and teleph copy of power of attorney/if any.	one number if different from the	OCT 27 2009
	Name: Luigi Lonfernini Title	Lui / Lea	lerwi-	EPIQ BANKRUPTCY SOLUTIONS, LLC
	Penalty for presenting fra	udulent claim: Fine of up to \$500,000 of it	mprisonment for up to 5 years, or l	ooth, 18 U.S.C. §§ 152 and 3571

	X
Debtors.	(Jointly Administered)
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
In re:	Chapter 11
UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	X

ANNEX TO PROOF OF CLAIM OF <u>BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO</u> S.P.A.

- 1. <u>Claimant</u>. Banca Agricola Commerciale della Repubblica di San Marino S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Euroclear Bank electronic instruction reference number and the Euroclear Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 1 attached hereto.

attached hereto. The Program Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,931,973.42, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN Code	Euroclear electronic instruction reference #	Euroclear account #	Sub- custodian account #	Issuer	Nominal Amount
XS0176153350	6034125	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 40,000.00
XS0181945972	6034129	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 300,000.00
XS0208459023	6034137	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00
XS0211093041	6034139	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00

EXHIBIT 2

ISIN Code	Nominal Amount (EUR)	Interest** (EUR)	Total (EUR)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
XS0176153350	40,000.00	1,137.07	41,137.07	56,604.00	1,609.07	58,213.07
XS0181945972	300,000.00	7,176.54	307,176.54	424,530.00	10,155.52	434,685.52
XS0208459023	500,000.00	11,177.10	511,177.10	707,550.00	15,816.71	723,366.71
XS0211093041	500,000.00	5,765.05	505,765.05	707,550.00	8,158.12	715,708.12
Total Claim Am	ount				USD 1,93	1,973.42

^{*}Amounts due in EUR have been converted to US Dollars using the exchange reference rate published on Web Site of Banca D'Italia, section "Euro Foreign Exchange references rates as of 15 Sep 2008" (www.bancaditalia.it/banca_ centrale/cambi/rif/2008/09/cambi_rif_1509_en.htm) for September 15, 2008: 1 EUR = 1.4151 USD

^{**} Interest accrued until September 14, 2008 (included).

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 160 of 198

EXHIBIT 3

Evidence of Ownership



BANCA AGRICOLA COMMERCIALE Della Repubblica di San Marino Via Oddone Scarito 13 Borgo Maggiore **RSM**

Lugano, 08.10.2009

LEHMAN BROTHERS BONDS

Dear Sirs,

we hereby confirm you that, at present date, we have deposited on your behalf, on our 12462 account with Euroclear Bank, the securities of the attached list.

Best regards.

UNICREDIT (SUISSE) BANK SA Vado h

MAILEUNICREDITSUISSE.CH

WWW.UNICREDITSUISSE.CH

XS0208459023

XS0254171191

LEHMAN BROS 2011 FLR LEHMAN BROS 2016 FLR LEHMAN BROS 2016 FLR

XS0252835110

XS0211093041 XS0181945972 XS0268648952 XS0183944643

XS0189741001 XS0252834576 XS0179304869 XS0176153350

XS0128857413

6 3/8 LEHMAN BROS 2011

LEHMAN BROS 2008 FLR LEHMAN BROS 2013 FLR

4 LEHMAN BROS 2011

4.75 LEHMAN BROS 2014

LEHAMAN BROS 2016 FLR

300'000 250'000 220'000 220'000 170'000 56'000 40'000 20'000

12462 12462 12462 12462 12462 12462 12462 12462 12462 12462

200301 200301 200301 200301 200301 200301 200301 200301 200301 200301 200301

LEHMAN BROS 2011 FLR

LEHMAN BROS 2015 FLR LEHMAN BROS 2014 FLR ISIN CODE

CURR

AMOUNT

ACC. AT EUROCLEAR BAC ACC. WITH UNICREDIT SUISSE

950'000 500'000 500'000

(

UniCredit

Lugano, 12.10.2009

Custody: Valid Instructions - 08/10/09 13:22:32

VALIDATED INSTRUCTIONS ACCOUNT 12462 08/10/09 06:1B EUCLID VALIDATION DATE: 06/10/2009 CUSTODY SERVICE 79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF) 20:YOUR...REFERENCE USER....NBR TIMESTAMP SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT 35B: 77D: REGISTRATION (RG) 87F: CORRESPONDENT (CO) 88D: BENEFICIARY (BE) 77R:CERTIFICATION (CE) 80B:PROXY (PR) 79: REC: REMARKS TO RECIPIENT (RR) 72:EOC:REMARKS TO EOC MESSAGES FROM EOC 35A: 20000 30:051009 72: (6030507) 79: 37-

20:LEHMAN 1 17:18:18

35B:XS0128B57413 LEHMAN BROTHERS HOL 6.37500 10/05/11 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA CONTACT SIMONA PACI 00378 871254 AMM.TITOLI AT BAC.SM

72:EOC:P. ALESSANDRINI 0041 91 9109559

Custody: Valid Instructions - 08/10/09 13:21:44

```
ACCOUNT 12462
VALIDATED INSTRUCTIONS
                                                     08/10/09
                                                     06:18
          EUCLID VALIDATION DATE: 08/10/2009
CUSTODY SERVICE
79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF)
  20:YOUR...REFERENCE USER....NBR TIMESTAMP
                SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT
 77D: REGISTRATION (RG)
 87F: CORRESPONDENT (CO)
 88D: BENEFICIARY (BE)
 77R:CERTIFICATION (CE)
 80B:PROXY (PR)
 79: REC: REMARKS TO RECIPIENT (RR)
 72:EOC:REMARKS TO EOC
MESSAGES FROM EOC
                          250000 30:071009 72:
                35A:
  20:LEHMAN 12
                                 16:15:18
35B:XS0268648952 LEHMAN BROTHERS HOL 4.25000 00/09/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                    STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                35A:
                          950000
                                   30:071009 72:
                                                            (6034146)
79: 37-
  20:LEHMAN 11
                                 16:14:45
                                            00/05/16 EUR IPRO (FUT )
35B:XS0254171191 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                       STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                                                            (6034144)
                                   30:071009 72:
                         5250000
                35A:
79: 37-
  20:LEHMAN 10
                                 16:14:15
                                            04/05/11 EUR IPRO (FUT )
35B:XS0252835110 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                      STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
79: 37-
                                  30:071009 72:
                                                            (6034141)
                35A:
                            70000
  20:LEHMAN 9
                                 16:13:47
35B:XS0252834576 LEHMAN BROTHERS HOL 4.00000 04/05/11 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
     EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286
                                          0041 91 910 9536
 72:EOC:WEBER SACHA
                                   30:071009 72:
                                                            (6034139)
                           500000
79: 37-
  20:LEHMAN 8
                                 16:13:25
35B:XS0211093041 LEHMAN BROTHERS TRE 7.50000 16/02/15 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                      STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
 72:EOC:WEBER SACHA
                                          0041 91 910 9536
                35A:
                           500000
                                    30:071009 72:
                                                            (6034137)
79: 37-
  20:LEHMAN 7
                                 16:12:51
 35B:XS0208459023 LEHMAN BROTHERS TRE 4.00000 30/12/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                        STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
 72:EOC:WEBER SACHA
                                           0041 91 910 9536
                                   30:071009 72:
                                                            (6034133)
79: 37-
                           200000
                                 16:12:28
  20: LEHMAN 6
                                             00/04/11 EUR IPRO (FUT )
 35B:XS0189741001 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
```

Custody: Valid Instructions - 08/10/09 13:21:44

EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 72:EOC:WEBER SACHA 0041 91 910 9536 30:071009 72: 79: 37-35A: 220000 (6034131) 20:LEHMAN 5 16:11:59 35B:XS0183944643 LEHMAN BROTHERS HOL 4.75000 16/01/14 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 0041 91 910 9536 72:EOC:WEBER SACHA 300000 30:071009 72: 79: 37-35A: (6034129)20: LEHMAN 4 16:11:33 35B:XS0181945972 LEHMAN BROTHERS TRE 7.00000 00/01/14 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 72:EOC:WEBER SACHA 0041 91 910 9536 56000 79: 37-35A: 30:071009 72: (6034127) 20: LEHMAN 3 16:11:06 35B:XS0179304869 LEHMAN BROTHERS HOL VAR 03/11/08 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 0041 91 910 9536 72:EOC:WEBER SACHA 40000 79: 37-35A: 30:071009 72: (6034125)20:LEHMAN 2 16:10:28 35B:XS0176153350 LEHMAN BROTHERS TRE 7.00000 10/10/13 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286

0041 91 910 9536

72:EOC:WEBER SACHA

EXHIBIT 4

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504 P01

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees.

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent.

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

36. 85. 2305 36/86/2005 29:11 <u>-</u>

 NO.290 004 NO.504 002

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date hereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning cartain of the Grammteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto:

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an antorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to affect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 7, 2005

Richard S. Puld, Jr.

John D. Macomber

06/08/2005

16:41

LEHMAN → 916467582653

NO.504

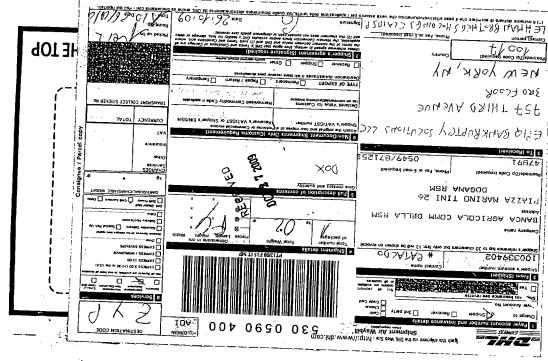
003

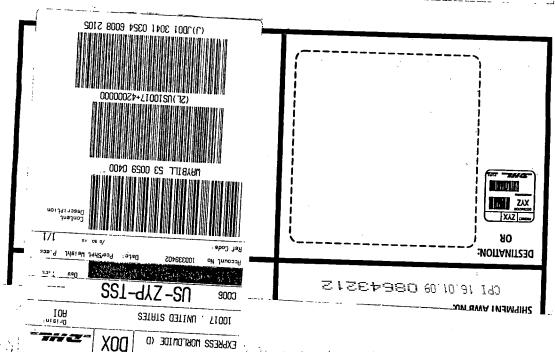
Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?	
1.	Lehman Brothers Asia Holdings Limited	No	
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)	
3.	Lehman Brothers Commercial Bank	No	
4.	Lehman Brothers Commercial Corporation	No	
5.	Lehman Brothers Commercial Corporation Asia Limited	No	
6.	Lehman Brothers Equity Finance (Cayman)	No	
7.	Lehman Brothers Finance S.A.	No	
8.	Lehman Brothers Holdings Plc	Yes	
9.	Lehman Brothers International (Europe)	Yes	
10.	Lehman Brothers Japan Inc.	No	
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No	
12.	Lehman Brothers (Luxembourg) S.A.	No	
13.	Lehman Brothers OTC Derivatives Inc.	No	
14.	Lehman Brothers Securities Asia Limited	No	
15.	Lehman Brothers Securities N.V.	No	
16.	Lehman Brothers Special Financing Inc.	No	
17.	Lehman Brothers Treasury Co. B.V.	No	
18.	Lehman Re Limited	No	

EXTREMELY URGENT DO NOT SEND CASH, CASH EQUIVALENT OR JEWELLERY.

DHL's liability shall not exceed US\$ 100 for any shipment. See conditions of carriage on the airbill.





08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 171 of 198

	5076		LEHMAN SECURITIES PROGRAMS Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.
Programs Securities	y not be used to file claim: as listed on http://www.leh	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) s other than those based on Lehman man-docket.com as of July 17, 2009	08-13555 (JMP) 0000050309
Name and address of C	reditor: (and name and addressed) with	ess where notices should be sent if different from Cr n copy to: gham McCutchen LLP	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number:
677 Washington Blvd Stamford, CT 06901 Att'n: Bert Fuqua, F	. 399 Nev Esq. A	Park Avenue v York, NY 10022 tt'n: Joshua Dorchak, Esq.	(if known) Filed on:
Tel.: 203.719.4038 Email:bert.fuqua@u Name and address whe		12.705.7784 shua.dorchak@bingham.com different from above)	☐ Check this box if you are aware that
			anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number:		Email Address:	
Securities as of Septem claim matured or becar as applicable on Septer claim amounts for each Amount of Claim: \$	ber 15, 2008, whether you owne fixed or liquidated before on the fixed or liquidated before on the fixed or liquidated before on the fixed because the fixed	when the Lehman Programs Securities on September or after September 15, 2008. The claim amount must this claim with respect to more than one Lehman to which this claim relates. Ached Appendix and Schedule	ust be the amount owed under your Lehman Programs 15, 2008 or acquired them thereafter, and whether such st be stated in United States dollars, using the exchange rate Programs Security, you may attach a schedule with the ipal amount due on the Lehman Programs Securities.
			y to which this claim relates. If you are filing this claim the Lehman Programs Securities to which this claim
International Securiti	es Identification Number (I	SIN): See attached Appendix and Schedule	
(each, a "Blocking Nur (i.e., the bank, broker o Security, you may attac Clearstream Bank Blo	nber") for each Lehman Prog r other entity that holds such tha schedule with the Blockin ocking Number, Euroclear I	rams Security for which you are filing a claim. You securities on your behalf). If you are filing this clain Numbers for each Lehman Programs Security to	
filing this claim. You r bank, broker or other er	eam Bank, Euroclear Bank or nust acquire the relevant Clea ntity that holds such securities		•
See attached Appendi 5. Consent to Eurocle	x and Schedule ar Bank, Clearstream Bank	or Other Depository: By filing this claim, you co	onsent to, FOR COURT USE ONLY
and are deemed to have	authorized, Euroclear Bank, n Programs Securities to the I Signature: The person fili of the creditor or other pers	Clearstream Bank or other depository to disclose your pebtors for the purpose of reconciling claims and disting this claim must sign it. Sign and print name and on authorized to file this claim and state address and enotice address above. Attach copy of power of attentions are not to the copy of power of attentions.	pur identity stributions. title, if any, telephone

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or interisbility for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

James B. Fuqua
Managing Director and Counsel
Region Americas Legal

Region Americas Legal

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

INFORMATION

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Claims to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

Appendix

This Proof of Claim (this "Claim") is filed by UBS AG ("Claimant") against Lehman Brothers Holdings Inc. ("Debtor").

This Claim is based on Debtor's issuance or guarantee, as applicable, of the Program Securities listed on the attached Schedule.

The precise amount of this Claim cannot be determined at this time, as it may depend on factors outside Claimant's knowledge and beyond Claimant's control. In each case, the Claim includes principal, accrued interest, any enhanced return on principal, and expenses to the extent permitted by the governing documents and applicable law.

Accordingly, the aggregate amount of this Claim is to be determined.

Because these securities are Lehman Program Securities, as defined in the Court's July 2, 2009 order, Claimant is not required to complete a Guarantee Questionnaire or to provide any information other that that provided herein in support of this Claim.

Reservation of Rights

This Claim is filed under the compulsion of the bar date established in these chapter 11 proceedings and is filed to protect Claimant from forfeiture of claims by reason of said bar date. Claimant reserves its right to amend and/or supplement this Claim for the purposes and to the extent permitted by applicable law.

Claimant reserves all of its rights and defenses, whether under title 11 of the United States Code or other applicable law, as to any claims that may be asserted against Claimant by Debtor, including, without limitation, any rights of setoff and/or recoupment not expressly asserted above. Claimant further reserves all of its rights as against the other debtors in these chapter 11 proceedings.

Claimant further reserves all rights accruing to it, and the filing of this Claim is not and shall not be deemed or construed as (i) a waiver, release, or limitation of Claimant's rights against any person, entity, or property (including, without limitation, Debtor or any other person or entity that is or may become a debtor in a case pending in this Court); (ii) a consent by Claimant to the jurisdiction or venue of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (iii) a waiver, release, or limitation of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the U.S. Constitution; (iv) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (v) a waiver, release, or limitation of Claimant's right to have any and all final orders in any and all non-core matters or proceedings entered only after de novo review by a U.S. District Court Judge; (vi) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; (vii) an election of remedies; or (viii) a consent to the final determination or adjudication of any claim or right pursuant to 28 U.S.C. § 157(c).

Schedule

Program Securities held by Claimant:

ISIN Number	Face Amount (Orig Currency)	Orig Currency	Face Amount (USD)	Euroclear Bank Electronic Instruction Reference Number	Euroclear Bank Account Number
CH0026915527	6755000	CHF	\$6,019,259.82	6056973	91672
CH0026985082	255000	CHF	\$227,225.94	6056972	91672
XS0128857413	1233000	EUR	\$1,740,910.89	6056971	91672
XS0176153350	110000	EUR	\$155,312.41	6056970	91672
XS0179304869	545000	EUR	\$769,502.38	6056969	91672
XS0183944643	6052000	EUR	\$8,545,006.25	6056968	91672
XS0205185456	1079000	EUR	\$1,523,473.52	6054005	91672
XS0213416141	2634000	EUR	\$3,719,026.18	6056967	91672
XS0213899510	258000	EUR	\$364,278.19	6056966	91672
XS0213971210	100000	EUR	\$141,193.10	6056965	91672
XS0215349357	9000	EUR	\$12,707.38	6056964	91672
XS0216921741	1000000	EUR	\$1,411,930.97	6056963	91672
XS0218304458	1000000	EUR	\$1,411,930.97	6056962	91672
XS0220152069	35000	EUR	\$49,417.58	6056961	91672
XS0224346592	460000	EUR	\$649,488.25	6056960	91672
XS0232364868	1000000	EUR	\$1,411,930.97	6056959	91672
XS0243852562	1500000	EUR	\$2,117,896.46	6056958	91672
XS0257022714	2000000	EUR	\$2,823,861.95	6056957	91672
XS0268648952	13050000	EUR	\$18,425,699.21	6056956	91672
XS0272543900	2000000	EUR	\$2,823,861.95	6056955	91672
XS0282937985	100000	EUR	\$141,193.10	6056954	91672
XS0282978666	270000	EUR	\$381,221.36	6056953	91672
XS0283497005	5256000	EUR	\$7,421,109.20	6056952	91672
XS0287044969	50000	EUR	\$70,596.55	6056951	91672
XS0293166517	3000000	EUR	\$4,235,792.92	6056950	91672
XS0307745744	300000	EUR	\$423,579.29	6056948	91672
XS0326006540	5550000	EUR	\$7,836,216.90	6056947	91672
XS0360242910	1500000	EUR	\$2,117,896.46	6056946	91672
XS0361644098	500000	EUR	\$705,965.49	6056945	91672
XS0252834576	200000	EUR	\$282,386.19	6056944	91672
XS0281520352	2754000	EUR	\$3,888,457.90	6056943	91672
XS0210414750	152000	GBP	\$269,648.15	6056942	91672
XS0251195847	5000000	GBP	\$8,870,004.80	6056941	91672
XS0259010022	500000	USD	\$500,000.00	6056908	91672
XS0342095881	1900000	USD	\$1,900,000.00	6056498	91672
XS0346438657	1000000	USD	\$1,000,000.00	6054490	91672

Amount of Claim: <u>TO BE DETERMINED</u>, including principal, accrued interest, any enhanced returns on principal, and expenses to the extent permitted by the governing documents and applicable law. For securities denominated in currency other than U.S. dollars, the amount of the claim, once determined, will be converted to U.S. dollars at the applicable exchange rate.

H A

D

D

E

L

I

V

E

R Y

RECEIVED BY:

10/28/09 DATE

TIME

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 176 of 198

	ankruptcy Court/Southern Dis		LEHMAN	SECURITIES PROGRAMS
	rs Holdings Claims Processing (aptcy Solutions, LLC	Center		PROOF OF CLAIM
FDR Station, P.	O. Box 5076		Eirst Henc	- Southern District of New York
New York, NY In Re:	10150-5076	Chapter 11		Prothers Holdings Inc., Et Al.
	rs Holdings Inc., et al.,	Case No. 08-13555 (JMP) (Jointly Administered)	1	08-13555 (JMP) 0000050827
		other than those based on Lehman man-docket.com as of July 17, 2009		
Name and addr	ess of Creditor: (and name and a	ddress where notices should be sent if diff	ferent from Cicunoi;	u спеск this box to indicate that this claim amends a previously filed claim.
	di Puglia e Basilicata S.C.p.A.			Court Claim Number:
Via Ottavio Ser 70022 Altamura	•			(If known)
Italy	` ,			
Attention: Mr.	Renato Quaranta			.
Talaahaaa aasa	h 120 000 0710742	Frank Address Assessis intermeta@bar	annanalandinvaliashasiliasta it	Filed on:
······································	ber: +39 080 8710342 ess where payment should be se	Email Address: tesoreria.integrata@ban	capopolareurpugnaeoasnicaia.n	☐ Check this box if you are aware that anyone
Name and addre	ass where payment should be se	ш (п интеген пош аооче)		else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone num	ber:	Email Address:]		
September 15, 2 fixed or liquida 2008. If you ar	2008, whether you owned the Letted before or after September 15	chman Programs Securities on September 5, 2008. The claim amount must be stated	15, 2008 or acquired them thereaft in United States dollars, using the	owed under your Lehman Programs Securities as of ter, and whether such claim matured or became exchange rate as applicable on September 15, in the claim amounts for each Lehman Programs
Amount of Cla	im: See attached	(Required)		
Check this b	oox if the amount of claim inclu	des interest or other charges in addition to	the principal amount due on the L	ehman Programs Securities.
		ation Number (ISIN) for each Lehman Pr may attach a schedule with the ISINs for		n relates. If you are filing this claim with respect to o which this claim relates.
International S	Securities Identification Numb	er (ISIN): See attached	(Required)	
"Blocking Num broker or other	ber") for each Lehman Program entity that holds such securities	s Security for which you are filing a clain	n. You must acquire a Blocking No m with respect to more than one Lo	ocking reference number, as appropriate (each, a umber from your accountholder (i.e. the bank, chman Programs Security, you may attach a
Clearstream B	ank Blocking Number, Euroc	lear Bank Electronic Instruction Refere	ence Number and or other depos	itory blocking reference number:
See attached	(Requi			
claim. You mus	st acquire the relevant Clearstrea	nk or other depository participant account im Bank, Euroclear Bank or other deposit b. Beneficial holders should not provide th	ory participant account number fro	rograms Securities for which you are filing this om your accountholder (i.e. the bank, broker or other
Accountholder	rs Euroclear Bank, Clearstrea	m Bank or Other Depository Participan	nt Account Number:	
See attached	(Requi	red)		
deemed to have	e authorized, Euroclear Bank, Cl grams Securities to the Debtors	Bank or Other Depository: By filing the learstream Bank or other depository to dis- for the purpose of reconciling claims and	close your identity and holdings distributions.	FILED / RECEIVED
Date. October 27 th, 2009	or other person authorized to finotice address above. Attach of	his claim must sign it. Sign and print nam ile this claim and state address and telepho opy of power of attorney, if any.		OCT 2 8 2009
	Name: Raffaele D'Ecclesiis	Title: President W Au	1	EPIO BANKRUPTCY SOLUTIONS, LLC
	Penalty for presenting frau	dulent claim: Fine of up to \$500,000 or ir	nprisonment for up to 5 years, or b	oth. 18 U.S.C. §§ 152 and 3571

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	
In re:	-X Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF BANCA POPOLARE DI PUGLIA E BASILICATA S.C.P.A.

- 1. <u>Claimant</u>. Banca Popolare di Puglia e Basilicata S.C.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is the holder and is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank, account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 1 attached hereto. The Program Securities have the benefit of an express, unconditional and

irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim.</u> The Claimant is the holder and is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,228,803.16, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN Code	Clearstream blocking reference #	Clearstream Account #	Sub-custodian account #	Iusser	Nominal Amount
XS0176153350	CA81609	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 60,000.00
XS0195431613	CA81618	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 25,000.00
XS0211093041	CA81648	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 400,000.00
XS0213971210	CA81657	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 360,000.00

	Monte Titoli blocking			Nominal
ISIN Code	reference #	Monte Titoli Account #	Iusser	Amount
IT0006578600	82032999	5385.00	Lehman Brothers Treasury Co. B.V	€ 10,000.00

EXHIBIT 2

ISIN CODE	Nominal Amount (FOREIGN CURRENCY)	Interest ** (FOREIGN CURRENCY)	Total (FOREIGN CURRENCY)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
IT0006578600	€ 10,000	€ 196.63	€ 10,196.63	14,201.00	279.23	14,480.23
XS0176153350	€ 60,000	€ 1,710.25	€ 61,710.25	85,206.00	2428.73	87,634.73
XS0195431613	€ 25,000	€211.18	€ 25,211.18	35,502.50	299.90	35,802.40
XS0211093041	€ 400,000	€ 4,624.66	€ 404,624.66	568,040.00	6,567.48	574,607.48
XS0213971210	€ 360,000	€ 3,550.68	€ 363,550.68	511,236.00	5,042.32	516,278.32
Total Claim A	mount				USD \$	1,228,803.16

^{*} Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the Bloomberg for September 15, 2008: $1 \, \text{Eur} = 1.4201 \, \text{USD}$

^{**} Interest accrued until September 14, 2008 (included)

EXHIBIT 3

Evidence of Ownership

23. Ott. 2009 16:00

Nr. 2947 P. 1



Lehman Brothers Holdings Inc. Chapter 11, Case No. 08-13555 (JMP) (Jointly Administered)

STATEMENT

Istituto Centrale delle Bauche Popolari S.p.A., represented by Mr. LUCIANO MEROSO, in his capacity as Deputy Manager, having its registered office in Milan, Corso Europa 18, in order to allow its depositor holding Lehman Programs Securities, as defined by the order entered on July 2, 2009 by the U.S. Bankruptcy Court for Southern District of New York, to file a proof of claim against Lehman Brothers Holdings Inc.

CERTIFIES

That, at the date of October 23, 2009, Banca Popolare di Puglia e Basilicata s.c.p.a., having its registered office in Altamura (BA), via Ottavio Serena 13 is the depositor of the Lehman Programs Securities listed below and that Clearstream Bank, at our request, assigned to each Lehman Programs Security a blocking reference number, as stated below.

Issuer	ISIN Code	Currency	Nominal Amount	Clearstrc	Clearstream Account #	ICBPI Account #
				Blocking #		
LEHMAN 10/10/13 LKD	XS0176153350	EUR	60,000.00	CA81609	81999	99/1/5385/23
LEHMAN 03/11/08 TV	XS0179304869	EUR	80,000.00	CA81611	81999	99/1/5385/23
LEHMAN 5/4/11	XS0189741001	EUR	25,000.00	CA81613	81999	99/1/5385/23
LEHMAN 21/05/09 TV	XS0193035358	EUR	45,000.00	CA81614	81999	99/1/5385/23
LEHMAN 14/7/14 7%	XS0195431613	EUR	25,000.00	CA81618	81999	99/1/5385/23
LEHMAN 09/11/09 TV	XS0205185456	EUR	20,000.00	CA81642	81999	99/1/5385/23
LEHMAN 09/03/15 4%	XS0213899510	EUR	60,000.00	CA81655	81999	99/1/5385/23
LEHMAN 20/07/12 TV	XS0224346592	BUR	660,000.00	CA81663	81999	99/1/5385/23
LEHMAN 10/12 5.375%	XS0326006540	EUR	50,000.00	CA81669	81999	99/1/5385/23
LEHM TSY 2/15 S/D TM	XS0211093041	EUR	400,000.00	CA81648	81999	99/1/5385/22
LEHMAN 18/03/15 TV	XS0213971210	EUR	360,000.00	CA81657	81999	99/1/5385/22

ISTITUTO CENTRALE DELLE BANCHE POPOLARI SPA...

Capogruppo del Gruppo ICBPI

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 184 of 198

23. Ott. 2009 16:01

Nr. 2947 P. 2

-IX ICBPI

Yours faithfully, Istituto Centrale delle Banche Popolari S.p.A.

Luciano Meroso Deputy Manager

Milan, 23 October 2009

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 185 of 198

Messaggio/i 717 Pagina 1 di 1



MONTE TITOLI S.p.A. CENTRO ELETTRONICO

[Disclaimer] [Copyright]

EXHIBIT 4

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504 P01

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

NO.290 004 NO.504 002

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the deve bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto:

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an antorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intern of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 7, 2005

Dishard C Enld Ir

John D. Macomber

06/08/2005

16:41

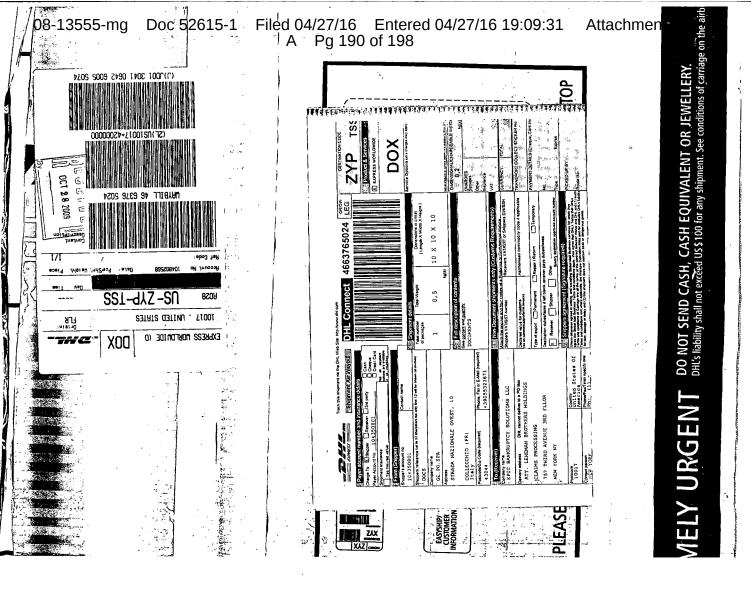
LEHMAN → 916467582653

ND.504

003

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No



United States Bankruptcy Court/Souther Lehman Brothers Holdings Claims Proces c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	ssing Center		URITIES PROGRAMS OF OF CLAIM
In Re: Lehman Brothers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Lehman Brothers Holdi	ngs Inc., Et Al.
Debtors.	(Jointly Administered)	08-13555 (JA	^(P) 0000050861
Note: This form may not be used to based on Lehman Programs Secur http://www.lehman-docket.com as	ities as listed on		
Name and address of Creditor: (and name Creditor)	and address where notices should be s	sent if different from	Check this box to indicate that this claim amends a previously filed claim.
	Funds Management Company S	S.A.	Court Claim Number:
1, Place de Metz, L-1930 L	uxembourg : 69 route d'Esch, L-1470 Luxer	n hours	(If known)
•	,	ilbourg	Filed on: 10/28/39
Telephone number: +41 58 344 49 00E		wisscanto.ch	
Name and address where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number: E	mail Address:		
you may attach a schedule with the claim	2008, whether you owned the Lehman ne fixed or liquidated before or after So able on September 15, 2008. If you are	Programs Securities on Septem eptember 15, 2008. The claim a filing this claim with respect to ecurity to which this claim rela	ber 15, 2008 or acquired them thereafter, mount must be stated in United States more than one Lehman Programs Security.
M Check this box if the amount of claim	•	•	t due on the I chman Programs Securities
2. Provide the International Securities I	dentification Number (ISIN) for each	Lehman Programs Security to v	hich this claim relates. If you are filing
this claim with respect to more than one I which this claim relates.		ttach a schedule with the ISINs and XS0292542494	for the Lehman Programs Securities to
International Securities Identification	Number (ISIN): XS0292528311	(Required)	
3. Provide the Clearstream Bank Blocking appropriate (each, a "Blocking Number") from your accountholder (i.e. the bank, br than one Lehman Programs Security, you relates.	g Number, a Euroclear Bank Electronic for each Lehman Programs Security for toker or other entity that holds such sec	or which you are filing a claim.	
iciaus.	may attach a schedule with the Blocki	ing Numbers for each Lehman l	are filing this claim with respect to more Programs Security to which this claim
Clearstream Bank Blocking Number, E		ng Numbers for each Lehman I	Programs Security to which this claim
	Curoclear Bank Electronic Instruction	ing Numbers for each Lehman l	Programs Security to which this claim
Clearstream Bank Blocking Number, Formumber: see enclosed list (attach 4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acqui	ment 2) Point (Require ar Bank or other depository participant re the relevant Clearstream Bank, Euro	on Reference Number and or on the state of t	Programs Security to which this claim other depository blocking reference or Lehman Programs Securities for which
Clearstream Bank Blocking Number, For number: see enclosed list (attach 4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acqui accountholder (i.e. the bank, broker or oth numbers. Accountholders Euroclear Bank, Clear	Curoclear Bank Electronic Instruction ment 2) Point (Require ar Bank or other depository participant re the relevant Clearstream Bank, Euro her entity that holds such securities on stream Bank or Other Depository P	on Reference Number and or	Programs Security to which this claim other depository blocking reference or Lehman Programs Securities for which participant account number from your
Clearstream Bank Blocking Number, For number: see enclosed list (attach 4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acqui accountholder (i.e. the bank, broker or oth numbers. Accountholders Euroclear Bank, Clear	Curoclear Bank Electronic Instruction ment 2) Point (Require ar Bank or other depository participant re the relevant Clearstream Bank, Euro ner entity that holds such securities on stream Bank or Other Depository P (Required	on Reference Number and or on Reference Number and or on the second number related to you be clear Bank or other depository your behalf). Beneficial holder articipant Account Number:	Programs Security to which this claim other depository blocking reference or Lehman Programs Securities for which participant account number from your
Clearstream Bank Blocking Number, For number: see enclosed list (attach 4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acqui accountholder (i.e. the bank, broker or oth numbers. Accountholders Euroclear Bank, Clear	Curoclear Bank Electronic Instruction ment 2) Point (Require ar Bank or other depository participant re the relevant Clearstream Bank, Euro er entity that holds such securities on stream Bank or Other Depository P (Required ream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Ba	on Reference Number and or or other depository your behalf). Beneficial holder articipant Account Number: (b) filing this claim, you ank or other depository to	Programs Security to which this claim other depository blocking reference or Lehman Programs Securities for which participant account number from your s should not provide their personal account
Clearstream Bank Blocking Number, Fnumber: see enclosed list (attach 4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acqui accountholder (i.e. the bank, broker or oth numbers. Accountholders Euroclear Bank, Clearstr consent to Euroclear Bank, Clearstr consent to, and are deemed to have author disclose your identity and holdings of Lef reconciling claims and distributions. Date. Signature: The person of the creditor or other	Curoclear Bank Electronic Instruction (Require ar Bank or other depository participant re the relevant Clearstream Bank, Europer entity that holds such securities on stream Bank or Other Depository Particed, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Bank	on Reference Number and or or on Reference Number and or or on Reference Number and or or on Reference Number related to you occlear Bank or other depository your behalf). Beneficial holder articipant Account Number: (i) filling this claim, you now or other depository to war for the purpose of the purpose of the purpose of the purpose and telephone	r Lehman Programs Securities for which participant account number from your s should not provide their personal account
Clearstream Bank Blocking Number, Fnumber: see enclosed list (attach 4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acqui accountholder (i.e. the bank, broker or oth numbers. Accountholders Euroclear Bank, Clearstr consent to Euroclear Bank, Clearstr consent to, and are deemed to have author disclose your identity and holdings of Lef reconciling claims and distributions. Date. Signature: The person of the creditor or other	curoclear Bank Electronic Instruction (Require ar Bank or other depository participant re the relevant Clearstream Bank, Europe entity that holds such securities on stream Bank or Other Depository P (Required ream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank, Europe (Required Park Park Park Park Park Park Park Park	on Reference Number and or or on Reference Number and or or on Reference Number and or or on Reference Number related to you occlear Bank or other depository your behalf). Beneficial holder articipant Account Number: (i) filling this claim, you now or other depository to war for the purpose of the purpose of the purpose of the purpose and telephone	r Lehman Programs Securities for which participant account number from your s should not provide their personal account FOR COURT USE ONLY FILED / RECEIVED OCT 2 8 2009
Clearstream Bank Blocking Number, Formumber: see enclosed list (attach 4. Provide the Clearstream Bank, Eurocle you are filing this claim. You must acqui accountholder (i.e. the bank, broker or off numbers. Accountholders Euroclear Bank, Clearstreamsent to, and are deemed to have author disclose your identity and holdings of Left reconciling claims and distributions. Date. Signature: The person of the creditor or other number if different from any.	(Required are Bank or Other Depository: By rized, Euroclear Bank or Other Depository: By rized, Euroclear Bank, Clearstream Bank arman Programs Securities to the Debto an filing this claim must sign it. Sign an person authorized to file this claim and the notice address above. Attach control of the Claim: Fine of up to \$500,000 or imput claim: Fine of up to \$500,000 or imput claim: Fine of up to \$500,000 or imput claim:	nn Reference Number and or on Reference Number and or on Reference Number and or on the account number related to you colear Bank or other depository your behalf). Beneficial holder articipant Account Number: (i) (ii) (iii) (iii)	r Lehman Programs Securities for which participant account number from your s should not provide their personal account FOR COURT USE ONLY FILED / RECEIVED OCT 2 8 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC

Chairman

Member Board of Directors

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 192 of 198

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS_

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy

filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009

_INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 194 of 198

Annex to Proof of Claim Form of Swisscanto (LU) Portfolio Funds Management Company S.A.

In addition to the statements set forth on its proof of claim form and in the documents attached hereto and/or submitted in accordance with the applicable procedures, Swisscanto (LU) Portfolio Funds Management Company S.A. ("Swisscanto") states as follows in support of its claim against the Debtor:

- 1. In addition to the amount of its claim, Swisscanto asserts a claim for any and all interest (including default interest), costs, fees, charges and expenses (including attorneys' fees) due and owing or that may become due and owing or otherwise allowable in connection with its clam.
- 2. Swisscanto is filing this proof of claim in anticipation of the claims bar date (the "Bar Date"), which has been set as November 2, 2009, pursuant to the Court's July 2, 2009 Order pursuant to Section 502(b)(9) of the Bankruptcy Code and Bankruptcy Rule 3003(c)(3) Establishing the Deadline for Filing Proofs of Claim, Approving the Form and Manner of Notice Thereof and Approving the Proof of Claim Form. Swisscanto reserves the right to amend, modify, and/or supplement this proof of claim at any time, including, without limitation, for the purpose of asserting additional claims, whether arising from the transactions or documents described in Swisscanto's proof of claim, this attachment, or otherwise. Swisscanto also reserves its rights to assert any and all rights of setoff that it may have against the Debtor in respect of its claims, including, without limitation, the right to set off its claims against any claims that the Debtor (or any successor, assignee or person claiming through the Debtor, as the case may be) may assert against Swisscanto or its successors or assigns, whether or not arising under the transactions set forth in this proof of claim. Swisscanto also reserves its right to pursue claims (including, but not limited to, the claims described herein) against the Debtor based upon additional or alternative legal theories. Swisscanto also reserves the right to assert administrative expense claims.
- 3. Swisscanto reserves its right to seek to have the reference withdrawn with respect to the subject matter of these claims, any objection or other proceedings commenced with respect thereto, or any other proceedings commenced in this case or otherwise involving Swisscanto. By filing this proof of claim, Swisscanto does not intend to submit to the jurisdiction of the Bankruptcy Court for any purpose other than the determination and allowance of this claim.
 - 4. All notices and any distribution with respect to this proof of claim should be sent to:

ARNOLD & PORTER LLP
399 Park Ave, 34th Fl.
New York, NY 10022
Attn: Anthony Boccanfuso
Telephone number: (212) 715-1000
Facsimile: (212) 715-1399

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 196 of 198

EUR WMCO Curncy CHF WMCO Curncy
Date PX_LAST Date PX_LAST
15.09.2008 1.4189 15.09.2008

Attachement 1 Claim (incl. nominal and interests) Lehman Exposure of Portfolio Funds Management Company S.A. as of 15.09.08

Claims in USD						
Fund	NISI	Non	Nominal !	Intrest	Interest E	Exposure Total
SW (LU) Portfolio Fund Growth (EUR)	XS0292528311 U	USD 5	567'560.00	6.301%	35'761.83	603'321.83
SW (LU) Portfolio Fund Balanced (EUR)	XS0292528311 USD		1'986'460.00	6.301%	125'166.40	2'111'626.40
SW (LU) Portfolio Fund Yield (EUR)	XS0292528311 USD		993'230.00	6.301%	62'583.20	1'055'813.20
SW (LU) Portfolio Fund Yield	XS0292542494 USD 18'467'303.06	JSD 18'4	67'303.06	3.483%	643'244.21	19'110'547.27
SW (LU) Portfolio Fund Balanced	XS0292542494 USD 17'396'734.77	ISD 17'3	96'734.77	3.483%	605'954.69	18'002'689.46
SW (LU) Portfolio Fund Growth	XS0292542494 USD 6'691'051.83	ISD 6'6	91'051.83	3.483%	233'059.50	6'924'111.33
Total Claims in USD	77. N. T. JUSD 46:102:339.66	ISD 461	02'339'66		£ 7705769.83	47'808'109.49

08-13555-mg Doc 52615-1 Filed 04/27/16 Entered 04/27/16 19:09:31 Attachment A Pg 198 of 198